



STATE OF UTAH DEPARTMENT OF ENVIRONMENTAL QUALITY  
DIVISION OF WATER QUALITY

EXPEDITED SETTLEMENT OFFER

DOCKET NUMBER:

This Expedited Settlement Offer (ESO), is entered into by and between the Division of Water Quality (DWQ) and JThomas Homes (Operator) pursuant to the Division's authority under the Utah Water Quality Act, Utah Code Ann. §§ 19-5-111, 19-5-115, UAC R317-1-8 and R317-8-3.9. The DWQ and Operator are sometimes jointly referred to hereinafter as "the Parties." Director" refers to the Director of DWQ.

1. Operator is a "person" as that term is defined in Utah Code Ann. § 19-1-103(4).
2. Operator is conducting "construction activity" at 400 Mormon Trail Road, Grantsville, UT.
3. DWQ has issued a Construction Storm Water Program UPDES permit No. UTR385521 (Permit) to Operator for the Project. The Permit is subject to specific terms, conditions and best management practices as provided for in UAC R317-8-3.9.
4. The attached "Expedited Settlement Offer Deficiencies Form" (ESODF), incorporated herein by reference, includes Findings which specify the conditions in violation of the Permit observed at the PROJECT during an on-site inspection conducted on (date). The ESODF is in lieu of an initial order or notice of violation to facilitate the purposes described hereinafter.
5. The Parties voluntarily enter into this ESO in order to: identify the actions necessary to correct the deficiencies observed at the Project; determine the amount of the civil penalty appropriate for the violation(s) based on the deficiencies alleged; and to conclude this matter without the necessity of further administrative or judicial proceedings. The Parties may agree to settle an action at any time through a Settlement Agreement as provided for in UAC R305-7-320.
6. Operator understands and agrees that a penalty in the amount of \$1905.00 is appropriate based on the application of DWQ's penalty policy contained in UAC R317-1-8 as applied to the violation(s) under the circumstances specified herein. This proposed settlement and penalty is subject to a thirty (30) day notice and comment period as provided for in UAC R305-7-402. The Parties each reserve the right to withdraw from this ESO if comments received during the notice period result in a modification to the terms and conditions.
7. By accepting this ESO, Operator neither admits nor denies the findings, violations or deficiencies specified herein.
8. Operator agrees to the terms, conditions and requirements of this ESO. By signing this ESO, Operator understands, acknowledges and agrees that it waives: (1) the opportunity for an administrative hearing pursuant to UCA 19-1-301; (2) the right to contest the finding(s) in the ESODF and the penalty amount specified herein; and (3) the opportunity for judicial review.

11/06/2017





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- 9. Operator certifies that all deficiencies identified herein have been corrected and that the Project is currently in full compliance with the terms and provisions of the Permit. Additionally, operator has attached to this ESO: (1) a written description detailing how the deficiencies were corrected; and (2) representative photographs documenting the current conditions and the associated BMPs implemented at the Project.
- 10. The Parties mutually agree that this ESO is entered in good faith and is an appropriate means to resolve the matters specified herein.
- 11. This ESO will be final after the thirty day notice and comment period on the date the Director signs the ESO, at which time the civil penalty takes effect.
- 12. Operator agrees that within fifteen (15) calendar days of receiving the signed and final ESO from the Division Operator shall submit a certified or cashier's check written to the Division of Water Quality in the amount specified in paragraph 4, above and hand deliver or send by certified mail to:

Division of Water Quality  
PO Box 144870  
Salt Lake City, Utah 84114-4870

- 13. Notwithstanding paragraph 7 above, the violations described herein will constitute part of Operator's compliance history where such history is relevant, including any subsequent violations. Operator understands and agrees that this ESO is not and cannot be raised as a defense to any other action to enforce any federal, state or local law.
- 14. This ESO, when final, is binding upon Operator and any corporate subsidiaries or parents, their officers, directors, employees, successors in interest, and assigns. The undersigned warrants that it is authorized to legally bind their respective principals to this ESO.

ACCEPTED BY OPERATOR:

Name (print) STAN ROWLAN

Title (print) MANAGER

Signature [Handwritten Signature]

Date 5/6/19

DIVISION OF WATER QUALITY

\_\_\_\_\_  
Date \_\_\_\_\_

Erica Brown Gaddis, PhD, Director

**Expedited Settlement Offer Worksheet  
Deficiencies Form**

*Consult instructions regarding eligibility criteria  
and procedures prior to use*

version 1



UTAH DEPARTMENT of  
ENVIRONMENTAL QUALITY  
**WATER  
QUALITY**

<b>LEGAL NAME AND MAILING ADDRESS OF OPERATOR</b>		<b>Telephone Number</b>	<b>UPDES Permit No.</b>
Travis Taylor Jthomas Homes 95 South River Bend Way, Ste A North Salt Lake, UT 84054		(801) 383-3252	UTR385521
<b>LOCATION AND ADDRESS OF SITE</b>		Inspector Name: Ryan Curtin	
400 South Mormon Trail Road Grantsville, Utah 84029		UPDES Program: Construction Storm Water	
		Entrance Interview Conducted: No	
		Exit Interview Conducted:	
		Exit Interview given to:	
		Exit Interview time: Date:	

<b>FACILITY DESCRIPTION / CONTACT NAMES</b>	
Name of Site Contact (ESO Worksheet recipient):	
Name of Authorized Official (UT CGP Appendix G.16): Travis Taylor	
Inspection Date: 03/04/2019	
Start Construction Date: 03/26/2018	
Estimated Completion Construction Date:	
If Unpermitted, Number of Months Unpermitted:	
Name of Receiving Water Body (Indicate whether 303(d) listed or high quality):	
Acres Currently Disturbed	Acres to be Disturbed in Whole Common Plan: 19.46   114.00
Has Operator Requested Rainfall Erosivity?	

PERMIT COVERAGE	Findings	Citation Reference**	R C A*	No. of Deficiencies	Dollar Amount	Total
1 Operator unpermitted for _____ months (# months unpermitted equals number of violations)		UAC R317-8-3.9(1), (6)(d)10, & (6)(e)			\$500.00 =	
<b>SWPPP REVIEW</b>						
2 SWPPP not prepared (If no SWMP, leave elements 3 - 24 blank and put "1" for deficiencies)		UT CGP 7.1.1			\$3,000.00 =	
3 SWPPP prepared but prepared after construction start (# of months = # of violations)		UT CGP 7.1.1 & 1.4			\$200.00 =	
4 SWPPP does not list and identify all potential sources of pollution to include: portable toilet, fuel tanks, egress points, staging areas, waste containers, chemical storage areas, concrete washout, paints, solvents, etc.		UT CGP 7.2.6			\$75.00 =	
5 SWPPP does not correctly identify owner and general contractor for the project site		UT CGP 1.1.1			\$50.00 =	
6 SWPPP does not have site description, as follows:						
7 Nature of activity in description (including disturbed & total acres)	SWPPP does not include activity description SWPPP does not include sequence of events	UT CGP 7.2.2		1	\$40.00 =	\$40
8 Intended sequence of major activities		UT CGP 7.2.4		1	\$40.00 =	\$40
9 Site Map		UT CGP 7.2.5			\$400.00 =	
10 Site map does not show boundaries of project & disturbances, drainage patterns, slopes, stockpiles, surface waters, inlets, egress points, storm water control measures, natural buffers, structures (to be constructed), stabilization practices, offsite staging, waste bins, equipment storage areas, discharge points, areas of final stabilization (each relevant omission is 1 violation)	SWPPP does not show stabilized construction entrances and exits on the map (3). No waste bins are identified on the map (1). Two rock stockpiles are not identified on the map (2).	UT CGP 7.2.5.a to h.		6	\$25.00 =	\$150
11 SWPPP does not have location/description industrial activities including concrete, asphalt batch plants, staging areas, equipment or supply storage, borrow areas (including those that are off-site).		UT CGP 7.2.5.a.vii			\$75.00 =	
12 SWPPP does not:						

	Describe all pollution control measures (e.g. BMPs), and show details and specifications for proper installation. (include 0.5 for description and 0.5 for details & specifications for each BMP not provided in SWPPP).		UT CGP 7.2.9.a			\$75.00	=	
13	SWPPP does not describe permanent and interim stabilization practices	no discussion of permanent or temporary stabilization in the SWPPP.	UT CGP 7.2.9.c		1	\$75.00	=	\$75
14	SWPPP does not identify and show locations of the source of non-storm water and discharge.		UT CGP 7.2.7			\$100.00	=	
15	SWPPP does not describe and show locations of natural buffer by water body(ies).		UT CGP 7.2.8			\$150.00	=	
16	SWPPP does not describe the use of treatment chemicals		UT CGP 7.2.9.b			\$100.00	=	
17	SWPPP does not have description of spill prevention and response procedures.		UT CGP 7.2.10.a			\$50.00	=	
18	SWPPP does not have a description of measures that will be used to control waste on the site including: demolition debris, spoils (unwanted dirt), construction waste, hazardous or toxic waste, and sanitary waste. (include 1 for each deficiency).		UT CGP 7.2.10.b			\$50.00	=	
19	SWPPP does not describe inspection procedures including inspectors (and certifications), inspection schedules, inspection forms or checklists, and procedures for corrective action. (1 violation for each deficiency).		UT CGP 7.2.11			\$50.00	=	
20	SWPPP does not describe training of personnel responsible for conducting inspections, taking corrective action, applying or storing treatment chemicals, and those involved with design; installation; maintenance; and repair of storm water control measures.		UT CGP 6 & 7.2.12			\$50.00	=	
21	Copy of permit and/or NOI not in SWPPP (each omission is 1 violation)		UT CGP 7.2.16			\$50.00	=	
22	SWPPP has not been updated to match corrections and modifications made on the site.	updated SWPPP map does not match site conditions, nor the contents of the SWPPP.	UT CGP 7.4.1		1	\$100.00	=	\$100
23	Copy of SWPPP not retained on site per permit conditions		UT CGP 7.3			\$300.00	=	
	A SWPPP not made available upon request		UT CGP 7.3			\$100.00	=	
24	SWPPP not signed/certified		UT CGP 7.2.15			\$50.00	=	
<b>Subtotal SWMP Deficiencies</b>								<b>\$405</b>

INSPECTIONS								
25	Number of inspections not performed and documented either once every 7 days, or once every 14 days and within 24 hours after storm event greater than 0.5 inches or greater (not required if: permanent stabilization; runoff unlikely due to winter conditions; once a month if temporarily stabilized) (Count each failure to inspect and document as one violation -- see next 5 rows).		UT CGP 4.1.2, 4.1.3, 4.1.4			\$200.00	=	
	No inspections conducted and documented (if true leave elements 26 to 29 blank):					True or False		
	Number of inspections expected if performed every 7 days:							
	Number of inspections expected if performed biweekly:							
	If known, number of days of rainfall of >0.5" that occurred during the construction time.							
26	Inspections not conducted by qualified personnel		UT CGP 4.1.1			\$40.00	=	
27	All areas with construction activity disturbance, storm water control measures, construction support areas, exposed to precipitation with storm water flows, temporarily stabilized areas, and storm water discharge points not inspected.		UT CGP 4.1.5			\$40.00	=	
28	Incomplete site inspection reports (date, name and qualifications of inspector, weather information, location of sediment/pollutant discharge, BMP(s) requiring maintenance, BMP(s) that have failed, BMP(s) that are needed, corrective action required including changes/updates to SWPPP and schedule/dates).		UT CGP 4.1.5			\$40.00	=	
29	Inspection reports not properly signed/certified (count each failure to sign/certify as 1 violation)		UT CGP Appendix G.16			\$25.00	=	

**AVAILABILITY OF RECORDS**

30	Sign/notice not posted		UT CGP 1.5			\$100.00	=	
	Does not contain copy of complete NOI		UT CGP 7.2.16			\$40.00	=	
	Contact information on site sign/notice was not available.		UT CGP 1.5			\$40.00	=	

**Subtotal Records Deficiencies** | \$0

**BEST MANAGEMENT PRACTICES**

31	No velocity dissipation devices located at discharge locations or outfall channels to ensure non-erosive flow to receiving water		UT CGP 2.1.1			\$100.00	=	
32	Control measures are not properly Selected, installed and maintained	Storm drain inlet covers (4) were damaged, and incorrectly placed over storm drain inlets. Five vehicle entrances and exits were missing from the site (5).	UT CGP 2.1.1		5	\$100.00	=	\$500
	Maintenance not performed regular enough to maintain effectiveness of BMPs (count each failure to select, install, maintain each BMP as one violation)		UT CGP 2.1.1		4	\$100.00	=	\$400
33	When sediment escapes the site from track out or bypassing BMPs, it is not removed at a frequency necessary to minimize off-site impacts	Sediment trackout from different lots were not being removed enough to minimize offsite discharges (5).	UT CGP 2.1.2		5	\$100.00	=	\$500
34	Natural vegetated buffer is not present adjacent to bordering water bodies (or substituted equivalent BMPs).		UT CGP 2.1.2			\$100.00	=	
35	Litter, construction debris, and construction chemicals exposed to storm water are not prevented from becoming a pollutant source (e.g. screening outfalls, pickup daily, etc.)	Temporary washout container failed and discharged to the storm drain system and into the soil.	UT CGP 2.3.3		1	\$100.00	=	\$100
36	Stabilization measures are not initiated within 14-days on portions of the site where construction activities have temporarily or permanently ceased		UT CGP 2.2.1 & 2			\$500.00	=	
	*Exceptions:							
	(a) Snow or frozen ground conditions							
	(b) Activities will be resumed immediately after the 14 days							
37	If a sedimentation basin is used, it is not designed for the 2 year, 24 hour storm, or 3600 cubic ft. storage per acre drained.		UT CGP 2.1.3			\$100.00	=	
	Where a sedimentation basin is not used, smaller sediment basins, sediment traps, or erosion controls not implemented for downslope boundaries		UT CGP 2.1.1			\$100.00	=	
	Sediment not removed from sediment basin or traps when design capacity reduced by 50% or more		UT CGP 2.1.2.b.ii & 2.1.3.b.ii			\$100.00	=	
38	Common Drainage does not have sediment traps, silt fences, vegetative buffer strips, or equivalent sediment controls for all down slope boundaries (not required if sedimentation sediment basin meeting criteria in 37 above)		UT CGP 2.1.1			\$100.00	=	

**Subtotal BMP Deficiencies** | \$1,500

**ADDITIONAL FACTORS**

39	Is the project over 30 acres? (True or False)	Add 15% to penalty						\$0
40	Is the project over 100 acres? (True or False)	Add 50% more to penalty						\$0
41	Does the project border on a water body? (True or False)	Add 20% to penalty						\$0
42	Significant Economic Benefit	Economic Benefit entries over \$200 enter in column "H" from separate BEN worksheet (included if value)				\$199.00		

**Total Expedited Settlement:** | **\$1,905**

