



Sponsored by  
Utah Department of Environmental Quality  
www.deq.utah.gov/cleanutah  
1-800-458-0145

---

## Leader Level Application

(Tier Three)

**This is a /\_\_\_/ single facility /\_\_\_/ multiple facility application\***  
*Applications are accepted at any time and will be reviewed on a semi-annual basis.  
Type or print the following information:*

---

*\* Site Specific Facility Name*

---

*\*Number of Employees*

---

*\* Product or Service (What do you do?)*

---

*\* Previous Facility Name(s)*

---

*\*Industry SIC Code (4 digits)*

---

*Parent Company, if applicable*

---

*\*Facility Street Address*

---

*City*

---

*Zip*

---

*Mailing Address*

---

*City*

---

*State*

---

*Zip*

---

*Contact Name*

---

*Title*

---

*Telephone*

---

*FAX*

---

*E-mail address*

**\* If you are applying for multiple facilities designation, include an additional sheet providing the \* information for each facility to be covered.**

---

## Environmental Permits

---

You are eligible to participate in Clean Utah if you are governed by federal, state, or local environmental regulations.

### *For a Permitted Business:*

List the environmental permits you hold. Attach additional sheets if more space is needed. If you are applying as a multiple facility, make sure the information is complete for all facilities to be covered by the Clean Utah designation.

Type of Permit:	Permit Number:	Issued by:

### *For a Small Business:*

In some cases, small businesses are exempted from permit requirements because of the size of the operation. They may still be required to meet certain federal or state environmental regulations, such as record keeping and pollution prevention steps.

Let us know, in the space below, which environment regulations apply to you and what steps you take to assure your operation meets applicable requirements.

---

## Compliance Eligibility Requirement

---

Clean Utah! seeks to reward those who are willing to go beyond what is required by rule or statute to prevent or reduce pollution. You are eligible to participate provided you:

- have not been in Significant Noncompliance of Drinking Water, Water Quality, or Hazardous Waste regulations, had a High Priority Violation of Air Quality regulations, had a Severity Level I, II, or III Violation of Radiation Control regulations, or any equivalent violation of any state, federal, or local environmental regulation in the past year and you have not had a total of three such violations in the past three years;
- have not had any criminal violation of environmental regulations for the past five years and are not currently the focus of an environmental criminal investigation.
- have no other open or unresolved violation(s).

Have you continued to meet the compliance eligibility requirement?     Yes             No

**Note:**

Compliance history and status will be determined by the appropriate DEQ program(s), in consultation with EPA and the Local Health Department.

---

## Environmental Management System (EMS)

---

To be considered for this Tier, you need to have had an Environmental Management System or EMS for at least three full or continual cycles. Several EMS models currently exist and you can select a model that best meets your circumstances, provided it meets all the components outlined in the Clean Utah policy. DEQ or its designee reserves the right to request documentation of the EMS and/or to make site visits for verification. Complete the EMS worksheet. Then, answer the following questions:

- Does your company have, in place, an EMS for the facility or facilities requesting the Clean Utah! Leader Level designation?

Yes                       No

- If you are using a particular EMS model, please name:

- Do you meet all the criteria outlined in the EMS worksheet?     Yes             No

- Has your EMS been in place for three full cycles?     Yes                       No

- Describe third party or self-assessment protocol used to evaluate your EMS:

---

## Incentives

---

All participants:	DEQ-initiated publicity Annual meeting with DEQ policy leaders.
Leader Level:	Use of the Clean Utah Leader logo Negotiated incentives
Possibilities:	Selected permit enhancements (indicate specifically what you are seeking)  Facility specific incentives (indicate specifically what you are seeking)  (Water Quality/Drinking Water Projects Only) - Recommendation for a reduced percentage or higher priority on loan funds. (This incentive is conditional, based on the approval of the appropriate Board)  Low Priority for Routine Inspections  Self audit  Other incentives offered by EPA to its Performance Track participants. (See website)

In the space below, indicate the incentives you are interested in receiving in return for your participation. Please rank order your preferences, with one being the most preferred.

### Note

The granting of incentives is at the discretion of DEQ, based on site-specific conditions, the strength of the proposed environmental projects, and the ability of the applicable DEQ program to provide the requested incentive.

1.

2.

3.

---

## Environmental Improvement Projects

---

Leader Level participants are required to have met the requirements of Tier Two for at least three years and to work on one major project designed to significantly reduce or prevent pollution. “Significant” will be determined by considering your size, your industry sector, and your previous environmental improvement efforts. The project should also benefit one of the Core area projects area outlined in the Clean Utah policy.

A proposed project cannot be work that is already required - by statute, rule, or as part of a settlement agreement. Wherever possible, your project should be based on environmental aspects identified in your EMS.

Include with this application, (1) a summary of your accomplishments under Tier Two and (2) an outline of your proposed project. Make the proposal brief and succinct while clearly addressing the areas below. As you prepare your proposal, keep in mind that it will be considered by the Public Interest Review Panel as part of the approval process and that it will be part of the Clean Utah public record. Therefore, it is recommended that you provide enough information to justify your proposal but do NOT submit proprietary or confidential information.

1. Summarize the projects you completed to meet the Tier Two requirements and the environmental improvements and benefits your company has had as the result of having an EMS for three complete cycles.
2. Provide an introductory statement outlining your proposed Leadership project.
3. Identify the Core area to be benefited by this project. (See Clean Utah policy pages 6 and 7)
4. Identify the environmental aspect or aspect(s) you are seeking to improve and why. (From your EMS)
5. Outline what you will accomplish and how. Provide enough information that a DEQ staff member will be able to evaluate the project’s feasibility. Include:
  - a timeline with key milestones;
  - current, measured pollutant levels (provide the timeframe), targeted reductions, and/or other environmental benefits; and
  - a description of how you plan to monitor progress and measure success.
6. Identify any permits or special considerations you may need to do this project.
7. Tell us why you consider your project “significant”. Tell us why this should be considered a “major” leadership project
8. Tell us how you plan to meet the public participation requirement. (See Clean Utah policy page 9)
9. Any other considerations, information, or clarifications you wish to include.

---

**Terms and Conditions**

---

Acceptance as a Leader Level participant entitles my facility to:

- display the Clean Utah logo at our place of business and in facility-related publicity. I understand that if my company has multiple facilities in Utah, only those locations covered by the approved application are eligible to use this logo.
  
- participate in DEQ-initiated publicity and in an annual meeting with DEQ policy leaders. Other incentives will be negotiated, based on the requests outlined in this application. I understand that final approval will be outlined in a signed Incentive Agreement and is subject to site-specific conditions and the ability of the applicable DEQ program to provide the incentive.

As a Leader Level participant, I agree to:

- remain in compliance with applicable environmental regulations. I understand that failure to do so may be grounds for removal.
  
- continue our EMS process and actively work on approved Clean Utah projects.
  
- submit an Annual Report, certifying ongoing compliance and including a summary of project commitments, accomplishments to date, major indicators of environmental improvements, and a plan for the upcoming year
  
- conduct appropriate public outreach activities, as outlined in the Clean Utah policy.

All **Clean Utah** participants agree to remain in compliance with applicable environmental regulations. Failure to do so may be grounds for removal.

---

**Certification Statement**

---

*(to be signed by a senior facility manager)*

I have read and agree to the terms and conditions for participation in the Clean Utah Program as described in the Clean Utah policy and application. I have personally examined and am familiar with the information contained in this application and it is, to the best of my knowledge and based on reasonable inquiry true, accurate and complete and I have no reason to believe the facility would not meet all program requirements. I agree that Utah DEQ's decision whether to accept participants into or remove them from Clean Utah is wholly discretionary.

I am a senior facility manager and fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is applying to this program.

---

*Signed*

*Date*

---

*Print Name*

*Title*

---

*Telephone*

*E-mail address*