

UTAH STATE IMPLEMENTATION PLAN

PM_{2.5} Maintenance

Provisions for the Provo, UT Nonattainment Area

SECTION IX.A.27



UTAH DEPARTMENT *of*
ENVIRONMENTAL QUALITY

**AIR
QUALITY**

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List of Acronyms and Abbreviations

BACM	Best Available Control Measure
BACT	Best Available Control Technology
CAA	Clean Air Act
CDD	Clean Data Determination
CFR	Code of Federal Regulations
CAMx	Comprehensive Air Quality Model with Extensions
DAQ	Utah Division of Air Quality (also UDAQ)
EPA	Environmental Protection Agency
FDV	Future Design Value
FR	Federal Register
MOVES	Motor Vehicle Emission Simulator
MPO	Metropolitan Planning Organization
MVEB	Motor Vehicle Emissions Budget
$\mu\text{g}/\text{m}^3$	Micrograms Per Cubic Meter
Micron	One Millionth of a Meter
NAAQS	National Ambient Air Quality Standards
NH_3	Ammonia
NO_x	Nitrogen Oxides
NNSR	Nonattainment New Source Review
PM	Particulate Matter
PM_{10}	Particulate Matter Smaller Than 10 Microns in Diameter
$\text{PM}_{2.5}$	Particulate Matter Smaller Than 2.5 Microns in Diameter
R-307	Utah Administrative Code Air Quality Rules
Provo NAA	Provo Nonattainment Area
RACM	Reasonably Available Control Measures
RACT	Reasonably Available Control Technology
RFP	Reasonable Further Progress
RRF	Relative Response Factor
SIP	State Implementation Plan
SMAT	Software for Model Attainment Test
SMOKE	Sparse Matrix Operator Kernel Emissions
SO_2	Sulfur Dioxide
SO_x	Sulfur Oxides
TPY	Tons Per Year
TSD	Technical Support Document
UAC	Utah Administrative Code
UT	Utah
VMT	Vehicle Miles Travelled
VOC	Volatile Organic Compounds
WRF	Weather Research and Forecasting

Section IX.A.27 PM_{2.5} Maintenance Provisions for the Provo, UT Nonattainment Area

IX.A.27.a Introduction

The Provo Nonattainment Area (Provo NAA) has attained the 2006 PM_{2.5} 24-hour National Ambient Air Quality Standard (NAAQS). As a result, this Section has been added to the State Implementation Plan (SIP) to demonstrate that the Provo NAA is eligible for redesignation to attainment. Under Section 107(d)(3)(E) of the Clean Air Act (CAA or the Act), a nonattainment area is eligible for redesignation when the area has met the following requirements: (1) the area has attained the national ambient air quality standard, (2) the area has an Environmental Protection Agency (EPA) approved SIP, (3) the improvement in air quality is due to permanent and enforceable reductions in emissions resulting from implementation of the SIP, (4) the state has met the SIP requirements of Section 110 and Part D of the Act, and (5) the area has an EPA approved Maintenance Plan.

As demonstrated in Subsection IX.A.27.b, the Provo NAA has satisfied the redesignation requirements of Section 107 and is eligible for redesignation pending the EPA's approval of the Provo NAA Maintenance Plan. The maintenance plan is included in Subsection IX.A.27.c and was written in compliance with Section 175A of the Act. The maintenance plan demonstrates that the Provo NAA will continue to maintain the 24-hr PM_{2.5} NAAQS through at least the year 2035. The maintenance plan also includes contingency measures to assure that the State will promptly correct any violation of the standard that may occur after redesignation. Upon the EPA's approval of the maintenance plan, the State is requesting that the Provo NAA be redesignated to attainment for the 2006 PM_{2.5} 24-hour NAAQS.¹

1) Background

In October of 2006, EPA revised the 1997 NAAQS for PM_{2.5}. While the annual standard remained unchanged at 15 µg /m³ until 2012, the 24-hr standard was lowered from 65 µg /m³ to 35 µg /m³. The Utah Division of Air Quality (UDAQ) has monitored PM_{2.5} since 2000 and found that all areas have complied with the 1997 standards. Since the promulgation of the 2006 standard, all or parts of seven Utah counties have recorded monitoring data that was not in compliance with the new 24-hr standard. In 2012, EPA lowered the annual standard to 12 µg /m³, and all areas of the state meet this new standard.

On November 13, 2009, EPA designated the Provo NAA as nonattainment for the 2006 24-hour PM_{2.5} NAAQS under the Act's general provisions for nonattainment areas. On January 4, 2013, the D.C. Circuit Court of Appeals issued a decision holding that the specific provisions for PM₁₀ nonattainment areas, which are found in Part D, Subpart 4 of the Act, also apply to PM_{2.5} nonattainment areas. These provisions require EPA to classify a PM nonattainment area as "moderate" at the time it is designated nonattainment. If the area cannot attain the NAAQS by the attainment date, then EPA is required to

¹ Concurrent with the State's submittal of SIP Section IX.A.27 to the EPA, Governor Gary Herbert will submit a letter to EPA requesting that EPA approve the maintenance plan and redesignate the Provo NAA to attainment.

1 reclassify the area as “serious.” On June 2, 2014, the EPA classified the Provo NAA as a moderate
2 nonattainment area with an attainment date of December 31, 2015.

3 The Act requires areas failing to meet the federal ambient PM_{2.5} standard to develop a SIP with sufficient
4 control requirements to expeditiously attain and maintain the standard. On December 22, 2014, UDAQ
5 submitted a moderate area nonattainment SIP for the Provo NAA.² The modeled attainment
6 demonstration underlying the moderate SIP assessed the likelihood of attainment by the applicable
7 attainment date of December 31, 2015 and concluded that it would be impracticable to do so.

8 After reaching the statutory attainment date, the EPA was compelled to determine whether the area had or
9 had not achieved compliance with the standard by evaluating the prior three years of quality assured data.
10 On May 10, 2017, EPA determined that the Provo NAA did not reach attainment of the 2006 24-hour
11 standard by the attainment date (89 FR 21711). EPA subsequently reclassified the Provo NAA from a
12 moderate PM_{2.5} nonattainment area to a serious PM_{2.5} nonattainment area on June 9, 2017.

13 Under Subpart 4 of the Act, serious PM nonattainment areas require, in addition to the provisions
14 submitted to meet the moderate area planning requirements, the submittal of a SIP revision that: 1)
15 provides for attainment of the applicable NAAQS no later than the end of the 10th calendar year after the
16 area’s designation as nonattainment (December 31, 2019, for the Provo NAA), and 2) includes provisions
17 to assure that the best available control measures (BACM) for the control of PM_{2.5} and its precursors shall
18 be implemented no later than four years after the date the area is re-classified as a serious area (June 9,
19 2021, for the Provo NAA). To fulfill the subpart 4 requirements, UDAQ submitted serious SIP elements
20 to EPA on February 4, 2019, including BACM analysis. SIP approval is discussed in more detail in
21 IX.A.27.b(2).

22 The statutory attainment date for the Provo NAA is December 31, 2019. Under the 24-hour PM_{2.5}
23 NAAQS, compliance is determined by the average of three years of 98th percentile values. On April 10,
24 2019 (84 FR 14267), the EPA published a final determination that based on the validated data from 2015-
25 2017, the Provo, UT nonattainment area attained the 2006 primary and secondary 24-hour PM_{2.5} NAAQS.
26 The purpose of this SIP submittal is to demonstrate that the Provo NAA is eligible for redesignation to
27 attainment (IX.A.27.b) and document a ten-year maintenance plan (IX.A.27.c).

28 **IX.A.27.b Redesignation Requirements**

29 Section 107(d)(3)(E) of the Act outlines five requirements that a nonattainment area must satisfy before
30 an area may be eligible for redesignation from nonattainment to attainment status. Table IX.A.27.1
31 identifies the redesignation requirements as they are stated in Section 107(d)(3)(E) of the Act. Each
32 element will be addressed in turn, with the central element being the maintenance plan found in
33 Subsection IX.A.27.c below.

² UDAQ. December 3, 2014. Utah State Implementation Plan. Control Measures for Area and Point Sources, Fine Particulate Matter, PM_{2.5} SIP for the Provo, UT Nonattainment Area. Section IX. Part A.22.

[https://deq.utah.gov/legacy/laws-and-rules/air-](https://deq.utah.gov/legacy/laws-and-rules/air-quality/sip/docs/2014/12Dec/SIP%20IX.A.22_PROVO_FINAL_Adopted%2012-3-14.pdf%2012-3-14.pdf)

[quality/sip/docs/2014/12Dec/SIP%20IX.A.22_PROVO_FINAL_Adopted%2012-3-14.pdf%2012-3-14.pdf](https://deq.utah.gov/legacy/laws-and-rules/air-quality/sip/docs/2014/12Dec/SIP%20IX.A.22_PROVO_FINAL_Adopted%2012-3-14.pdf%2012-3-14.pdf)

Category	Requirement	Reference	Addressed in Section
Attainment of Standard	Three consecutive years of PM _{2.5} monitoring data must show that violations of the standard are no longer occurring	CAA §107(d)(3)(E)(i)	IX.A.27.b(1)
Approved SIP	The attainment SIP for the area must be fully approved	CAA §107(d)(3)(E)(ii)	IX.A.27.b(2)
Permanent and Enforceable Emissions Reductions	The State must be able to reasonably attribute the improvement in air quality to emission reductions that are permanent and enforceable	CAA §107(d)(3)(E)(iii), Calcagni memo (Sect 3, para 2)	IX.A.27.b(3)
Section 110 and Part D requirements	The State must verify that the area has met all requirements applicable to the area under section 110 and Part D	CAA: §107(d)(3)(E)(v), §110(a)(2), Sec 171	IX.A.27.b(4)
Maintenance Plan	The Administrator has fully approved the Maintenance Plan for the area as meeting the requirements of CAA §175A	CAA: §107(d)(3)(E)(iv)	IX.A.27.b(5) and IX.A.27.c

1 **Table IX.A.27. 1 Prerequisites to Redesignation in the Federal Clean Air Act**

2 **(1) The Area Has Attained the PM_{2.5} NAAQS**

3 CAA 107(d)(3)(E)(i) – *The Administrator determines that the area has attained the national ambient air*
 4 *quality standard.* To satisfy this requirement, the State must show that the area is attaining the applicable
 5 NAAQS. According to EPA’s guidance³ concerning area redesignations, there are generally two
 6 components involved in making this demonstration. The first relies upon ambient air quality data which
 7 should be representative of the area of highest concentration and should be collected and quality assured
 8 in accordance with 40 CFR 58. The second component relies upon supplemental air quality modeling.
 9 Each component will be addressed in turn.

10 **a) Ambient Air Quality Data (Monitoring) and Utah’s Monitoring Network**

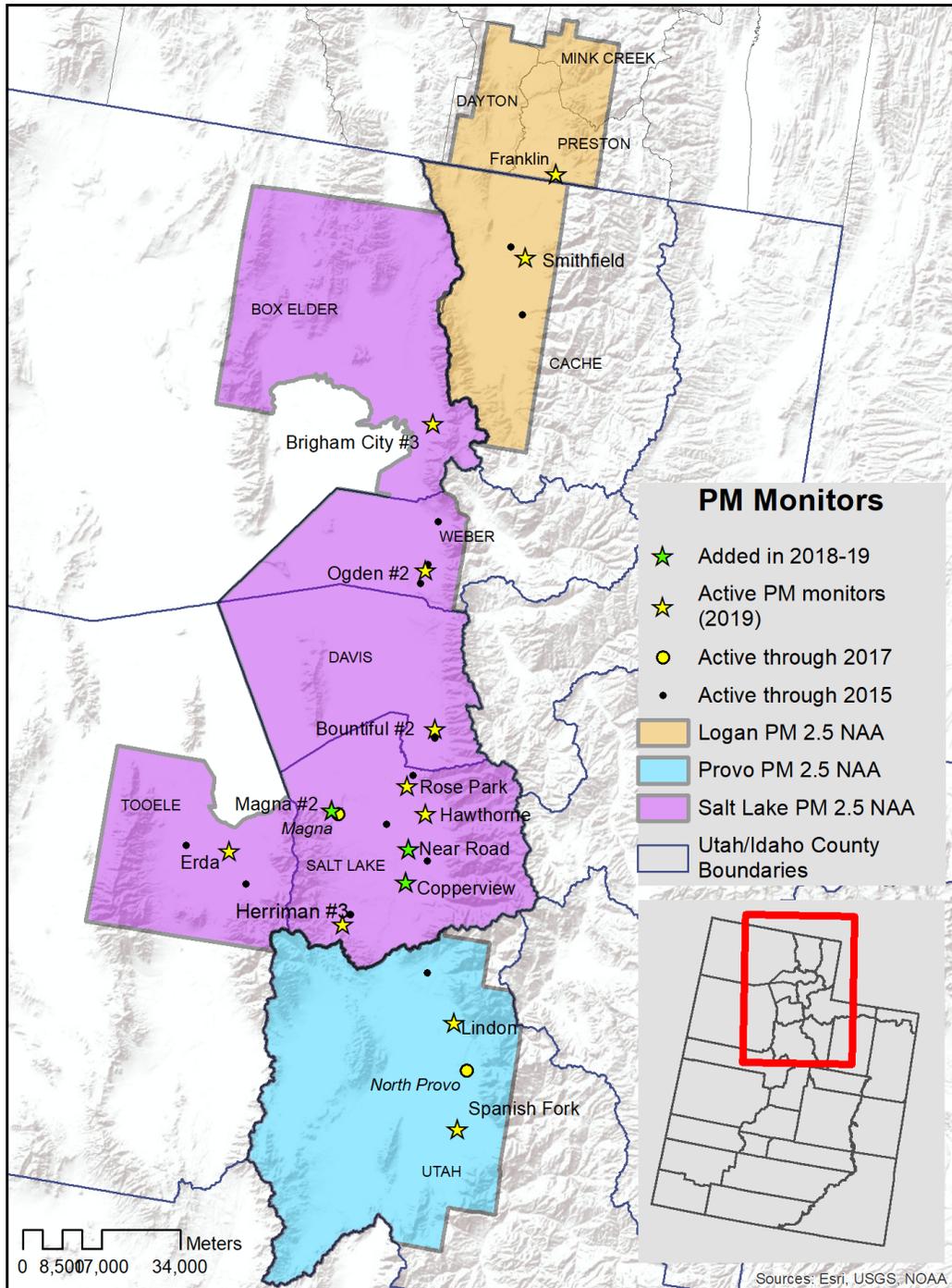
11 The NAAQS for PM_{2.5} are listed in 40 CFR 50.13. The 2006 24-hour NAAQS is 35 micrograms per cubic
 12 meter (µg/m³) for a 24-hour period and is met when the 98th percentile 24-hr concentration is less than or
 13 equal to 35 µg/m³. Each year’s 98th percentile is the daily value beneath which 98% of all daily values
 14 would fall. The procedure for evaluating PM_{2.5} data with respect to the NAAQS is specified in Appendix
 15 N of 40 CFR Part 50. Generally speaking, the 24-hr PM_{2.5} standard is met when a three-year average of
 16 98th percentile values is less than or equal to 35 µg/m³.

17 PM_{2.5} has been monitored in Utah since 2000, following the promulgation of the 1997 PM_{2.5} NAAQS.
 18 UDAQ’s monitors are appropriately located to assess concentration, trends, and changes in PM_{2.5}
 19 concentrations. During Utah’s wintertime temperature inversions, daily sampling and real time
 20 monitoring are necessary for both public notification and to provide data for the air quality models.

21 The UDAQ Air Monitoring Section maintains an ambient air monitoring network in Utah in accordance
 22 with 40 CFR 58 that collects both air quality and meteorological data. Figure IX.A.27.1 on the following

³ John Calcagni. September 4, 1992. EPA Memorandum “Procedures for Processing Requests to Redesignate Areas to Attainment.”

1 page shows the location of sites along the Wasatch Front and in the Cache Valley that collect PM_{2.5} data.
 2 The ambient air quality monitoring network along Utah's Wasatch Front and in the Cache Valley is
 3 routinely audited by the EPA, and meets the agency's requirements for air monitoring networks.



4

5 **Figure IX.A.27. 1 Utah's PM_{2.5} Air Monitoring Network**

6

1 Table IX.A.27.2 below shows the 98th percentile values in $\mu\text{g}/\text{m}^3$ for 2015, 2016, and 2017 as well as the
 2 three-year average of these values. The three-year average, or design value from 2015-2017 was used by
 3 EPA in their final clean data determination for the Provo NAA (84 FR 14267).

Location	2015	2016	2017	3-Year Average of 98 th percentiles
North Provo	25.0	36.6	21.9	27.8
Lindon	27.3	36.3	28.9	30.8
Spanish Fork	28.1	29.2	27.6	28.3

4 **Table IX.A.27. 2 Monitored Ambient 24-hr PM_{2.5} Data**

5 **i. Modeling Element**

6 EPA guidance⁴ concerning redesignation requests and maintenance plans discusses the requirement that
 7 the area has attained the standard and notes that air quality modeling may be necessary to determine the
 8 representativeness of the monitored data. Areas that were designated nonattainment based on modeling
 9 will generally not be redesignated to attainment unless an acceptable modeling analysis indicates
 10 attainment. The Provo NAA was not designated based on modeling; therefore, additional modeling is not
 11 necessary to determine the representativeness of the monitored data. The Provo NAA clean data
 12 determination was made based on validated ambient monitored values. Consequently, modeling is not
 13 necessary to show attainment. However, modeling was conducted for the purpose of this maintenance
 14 demonstration to show continued compliance with the PM_{2.5} NAAQS through the year 2035 (see section
 15 IX.A.27.c).

16 **ii. EPA Acknowledgement**

17 The data presented in the preceding paragraphs demonstrates that the Provo NAA is attaining the 24-hr
 18 PM_{2.5} NAAQS. On April 10, 2019, EPA published notice in the Federal Register (84 FR 14267) that
 19 pursuant to CAA section 199(b)(2), “the EPA is finalizing a clean data determination (CDD) for the 2006
 20 24-hour fine particulate matter (PM_{2.5}) Provo, Utah (UT) nonattainment area (NAA).” This determination
 21 was based on quality-assured, quality-controlled and validated ambient air monitoring data for 2015-
 22 2017.
 23

24 **b) Fully Approved Attainment Plan for PM_{2.5}**

25 *CAA 107(d)(3)(E)(ii) - The Administrator has fully approved the applicable implementation plan for the*
 26 *area under section 110(k).*
 27

28 Areas designated as nonattainment that attain the standard prior to the SIP submittal deadline, or prior to
 29 an area’s approved attainment date, are eligible for reduced regulatory requirements as described in
 30 EPA’s “Clean Data Policy.”⁵ Under the Clean Data Policy, the EPA issued a clean data determination on
 31 April 10, 2019 (84 FR 14267) for the Provo NAA. The approval status of both the moderate and serious
 32 Provo SIPs is dependent on the clean data determination requirements as detailed in 81 CFR 51.1015. For

⁴ Ibid

⁵ Steve Page, Director, EPA Office of Air Quality Policy Planning and Standard. December 14, 2004. EPA Memorandum to Air Division Directors, “Clean Data Policy for the Fine Particle National Ambient Air Quality Standards.”

1 a serious PM_{2.5} nonattainment area, a clean data determination suspends the requirements for the state to
 2 submit an attainment demonstration, reasonable further progress (RFP) plans, quantitative milestones, and
 3 contingency measures until such time as: (1) the area is redesignated to attainment, after which such
 4 requirements are permanently discharged; or (2) the EPA determines that the area has re-violated the
 5 PM_{2.5} NAAQS, at which time the state shall submit such attainment plan elements for the serious
 6 nonattainment area by a future date to be determined by the EPA. Table IX.A.27.3 details the EPA SIP
 7 approval status.

8 On February 4, 2019, Utah submitted the required serious SIP elements for the Provo NAA. Additionally,
 9 EPA guidance⁶ states that approval action on SIP elements and the redesignation request may occur
 10 simultaneously. Requirements listed in Table IX.A.27.3 that show pending approval may fall into this
 11 category.

Requirement	EPA Action & Date	FR Citation
Base Year and Projection Year Emission Inventories	Approval Pending	--
Modeled Attainment Demonstration	Clean Data Determination 4/10/2019	84 FR 14267
BACT	Approval Pending	--
On-Road Mobile BACM	Approval Pending	--
Non-Road Mobile BACM	Approval Pending	--
Area Source BACM	See Table IX.A.27.4	--
MVEB	Clean Data Determination 4/10/2019	84 FR 14267
Nonattainment New Source Review (R307-403)	Approved on 7/25/2019	84 FR 35832
Reasonable Further Progress	Clean Data Determination 4/10/2019	84 FR 14267
Quantitative Milestones	Clean Data Determination 4/10/2019	84 FR 14267
Contingency Measures	Clean Data Determination 4/10/2019	84 FR 14267

12 **Table IX.A.27. 3 Provo, UT Serious SIP Approval Status**
 13

14 The SIP elements still required under the clean data policy⁷ include emission inventories, NNSR
 15 requirements, and BACM/BACT. The EPA approved R307-403, Permits: New and Modified Sources in
 16 Nonattainment Areas and Maintenance Areas on July 25, 2019 (84 FR 35832), which covers the NNSR
 17 requirement for the PM_{2.5} attainment plans. The State has submitted the emission inventories, and
 18 BACM/BACT elements to the EPA, including the R307-300 series amendments and the point source

⁶ Calcagni (n 3)

⁷ Environmental Protection Agency. August 24, 2016. Fine Particulate Matter National Ambient Air Quality Standards: State Implementation Plan Requirements; Final Rule. 82 FR 58128.

1 BACT emission limitation and operating practices (Utah SIP Section IX.H). These SIP elements are still
 2 pending EPA approval.

3 While many of the moderate and serious SIP elements are suspended under the clean data determination,
 4 many of the moderate SIP element have been approved. As part of the Utah moderate SIPs, 24 area
 5 source rules were either introduced or augmented to control PM_{2.5} and PM_{2.5} precursors. On February 25,
 6 2016 (81 FR 9343), and October 19, 2016 (81 FR 71988), the EPA approved area source rule revisions
 7 and reasonably available control measure (RACM) analyses (where appropriate) for the majority of the
 8 R307-300 series. See Table IX.A.27.4 for details on rules, approval dates, and implementation schedules.
 9 For the SLC and Provo NAAs, the BACM analysis resulted in revisions to 13 different area source rules
 10 which affect surface coating, graphic arts, and aerospace manufacture and rework facilities.

EPA-Approved/Conditionally Approved Control Measures for UT Moderate PM _{2.5} SIPs	Implementation Schedule
R307-302 Solid Fuel Burning Devices ¹ EPA conditionally approved* October 19, 2016 (81 FR 71988).	February 1, 2017
R307-303 Commercial Cooking ¹ EPA approved February 25, 2016 (81 FR 9343).	December 15, 2015
R307-304 Solvent Cleaning ¹	December 6, 2017
R307-307 Road Salting and Sanding EPA approved February 25, 2016 (81 FR 9343).	January 1, 2014
R307-309 Nonattainment and Maintenance Areas for PM ₁₀ and PM _{2.5} : Fugitive Emissions and Fugitive Dust ¹ EPA proposed for approval September 14, 2017 (82 FR 43205).	Salt Lake County, Utah County, and the City of Ogden – January 1, 2013. Remaining NAAs – April 1, 2013. Amended August 4, 2017
R307-312 Aggregate Processing Operations for PM _{2.5} Nonattainment Areas. EPA approved October 19, 2016 (81 FR 71988).	February 4, 2016

EPA-Approved/Conditionally Approved Control Measures for UT Moderate PM _{2.5} SIPs	Implementation Schedule
R307-335 Degreasing and Solvent Cleaning Operations ¹ EPA approved February 25, 2016 (81 FR 9343).	All sources within Salt Lake and Davis Counties R307-335-3 through R307-335-6 – January 1, 2013. All other sources defined in R307-335-2 – September 1, 2013. All sources within Box Elder, Cache, Utah, Weber, and Tooele Counties R307-335-7 – August 1, 2014 Amended October 29, 2017, by removing sections 6 & 7 to for rule R307-304
R307-342 Adhesives & Sealants ¹ EPA approved February 25, 2016 (81 FR 9343).	December 1, 2014
R307-343 Emissions Standards for Wood Furniture Manufacturing Operations ¹ EPA approved February 25, 2016 (81 FR 9343)	Sources in Salt Lake and Davis Counties – September 1, 2013. Sources in Box Elder, Cache, Tooele, Utah, and Weber Counties – January 1, 2014. Amended December 6, 2017
R307-344 Paper, Film & Foil Coatings ¹ EPA approved February 25, 2016 (81 FR 9343)	Sources in Salt Lake and Davis Counties – February 1, 2013. Sources in Box Elder, Cache, Tooele, Utah, and Weber Counties – January 1, 2014. Amended December 6, 2017
R307-345 Fabric & Vinyl Coatings ¹ EPA approved February 25, 2016 (81 FR 9343)	Sources in Salt Lake and Davis Counties – February 1, 2013. Sources in Box Elder, Cache, Tooele, Utah, and Weber Counties – January 1, 2011. Amended December 6, 2017
R307-346 Metal Furniture Surface Coatings ² EPA approved February 25, 2016 (81 FR 9343)	Sources in Salt Lake and Davis Counties – February 1, 2013. Sources in Box Elder, Cache, Tooele, Utah, and Weber Counties – January 1, 2014. Amended December 6, 2017
R307-347 Large Appliance Surface Coatings ² EPA approved February 25, 2016 (81 FR 9343)	Sources in Salt Lake and Davis Counties – February 1, 2013. Sources in Box Elder, Cache, Tooele, Utah, and Weber Counties – January 1, 2014. Amended December 6, 2017

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EPA-Approved/Conditionally Approved Control Measures for UT Moderate PM _{2.5} SIPs	Implementation Schedule
R307-348 Magnet Wire Coatings ² EPA approved February 25, 2016 (81 FR 9343)	Sources in Salt Lake and Davis Counties – February 1, 2013. Sources in Box Elder, Cache, Tooele, Utah, and Weber Counties – January 1, 2014. Amended December 6, 2017
R307-349 Flat Wood Panel Coatings ¹ EPA approved February 25, 2016 (81 FR 9343)	Sources in Salt Lake and Davis Counties – February 1, 2013. Sources in Box Elder, Cache, Tooele, Utah, and Weber Counties – January 1, 2014. Amended December 6, 2017
R307-350 Miscellaneous Metal Parts and Products Coatings ¹ EPA approved February 25, 2016 (81 FR 9343)	Sources in Salt Lake and Davis Counties – September 1, 2013. Sources in Box Elder, Cache, Tooele, Utah, and Weber Counties – January 1, 2014. Amended December 6, 2017
R307-351 Graphic Arts ¹ EPA approved February 25, 2016 (81 FR 9343)	Sources in Salt Lake and Davis Counties – February 1, 2013. Sources in Box Elder, Cache, Tooele, Utah, and Weber Counties – January 1, 2014. Amended December 6, 2017
R307-352 Metal Containers, Closure, and Coil Coatings ² EPA approved February 25, 2016 (81 FR 9343)	January 1, 2014 Amended December 6, 2017
R307-353 Plastic Parts Coatings ¹ EPA approved February 25, 2016 (81 FR 9343)	January 1, 2014 Amended December 6, 2017
R307-354 Automotive Refinishing Coatings ¹ EPA approved February 25, 2016 (81 FR 9343)	January 1, 2014 Amended December 6, 2017
R307-355 Control of Emissions from Aerospace Manufacture and Rework Facilities ¹ EPA approved February 25, 2016 (81 FR 9343)	January 1, 2014 Amended March 8, 2018
R307-356 Appliance Pilot Light ¹ EPA approved February 25, 2016 (81 FR 9343)	January 1, 2013

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EPA-Approved/Conditionally Approved Control Measures for UT Moderate PM _{2.5} SIPs	Implementation Schedule
R307-357 Consumer Products ¹ EPA approved February 25, 2016 (81 FR 9343)	May 8, 2014
R307-361 Architectural Coatings ¹ EPA approved February 25, 2016 (81 FR 9343)	October 31, 2013

Table IX.A.27. 4 Area Source Rules Implementation Schedule and EPA Approval Status

¹ control measure implementation schedule and confirmation that measures have been implemented

² control measure implementation schedule and review if any new sources located in the NAA

*UDAQ submitted the committed revisions on February 1, 2017, within the one-year conditional approval window

The clean data determination has suspended all other elements of the Provo NAA PM_{2.5} attainment plan, including reasonable further progress (RFP) plans, quantitative milestones, and contingency measures at this time. Considering the suspended SIP elements through the clean data policy and the approval or expected approval of required elements, Utah has met requirement 107(d)(3)(E)(ii) for the Provo NAA.

c) Improvements in Air Quality Due to Permanent and Enforceable Reductions in Emissions

CAA 107(d)(3)(E)(iii) - *The Administrator determines that the improvement in air quality is due to permanent and enforceable reductions in emissions resulting from implementation of the applicable implementation plan and applicable Federal air pollutant control regulations and other permanent and enforceable reductions.* Speaking further on the issue, EPA guidance⁸ reads that the State must be able to reasonably attribute the improvement in air quality to emission reductions which are permanent and enforceable. In the following sections, both the improvement in air quality and the emission reductions themselves will be discussed.

i. Improvement in Air Quality

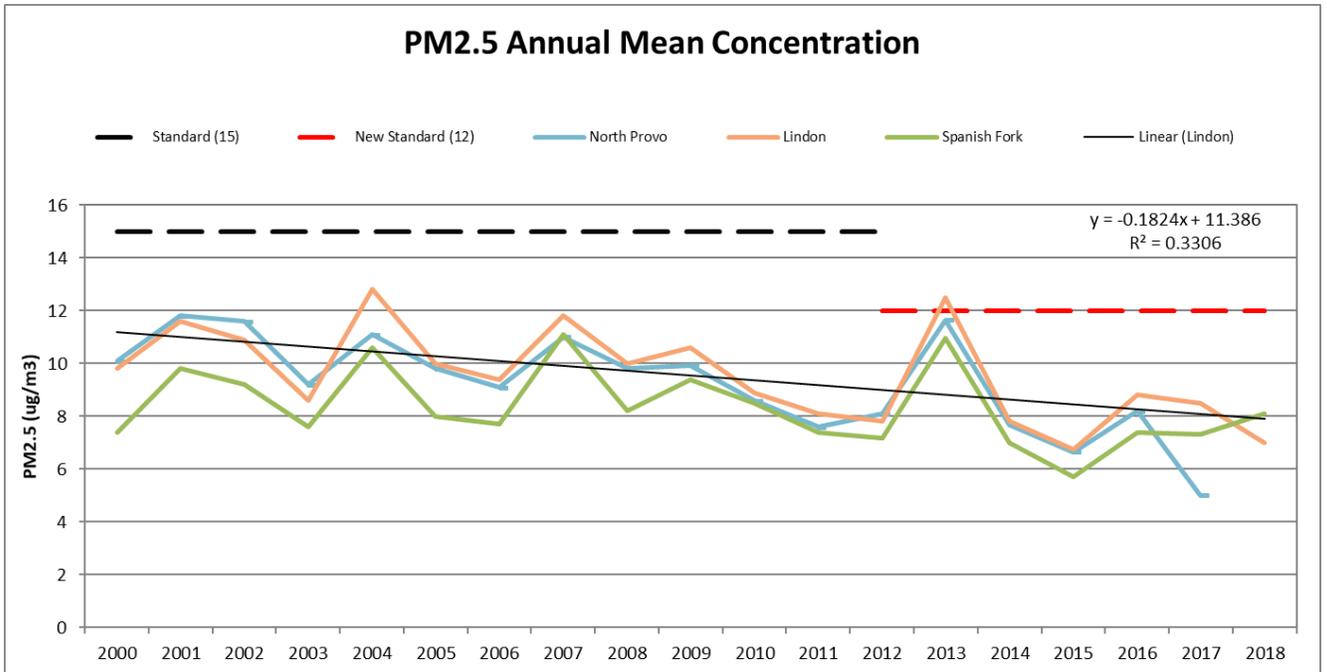
The improvement in air quality with respect to PM_{2.5} can be shown in a number of ways. Improvement, in this case, is relative to the various control strategies that affected the airshed. For the Provo NAA, these control strategies were implemented as the result of both the moderate SIP and the serious designation BACM/BACT requirements, submitted to EPA in December 2014 and February 2019, respectively. The various control measure effective dates are detailed in Tables IX.A.27.4 and IX.A.27.5.

An assessment of the ambient air quality data collected at monitors in the NAA from the year monitoring began to 2018 (the last year of validated data) shows an observable decrease in monitored PM_{2.5} (see Figure IX.A.27.2 and Figure IX.A.27.3). The Provo NAA is designated nonattainment only for the 24-hour health standard, not for the annual standard. However, it is useful to observe both the 98th percentile average of 24-hr data as well as the annual arithmetic mean to understand trends (see Figure IX.A.27.2).

⁸ Calcagni (n 3)

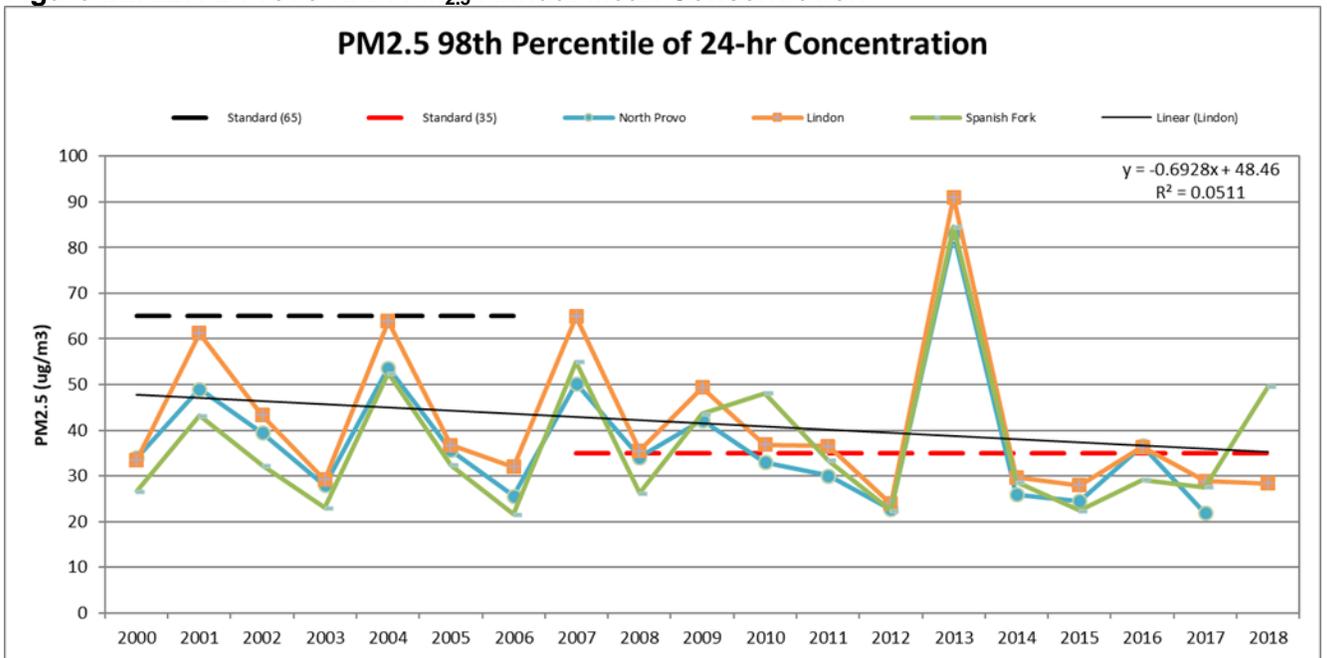
1 Ambient concentrations in excess of the 24-hr standard are typically only incurred during winter months
2 when cold-pool conditions drive and trap secondary $PM_{2.5}$. The actual cold-pool temperature inversions
3 vary in strength and duration from year to year, and the $PM_{2.5}$ concentrations measured during those times
4 reflect this variability far more than they reflect gradual changes in the emissions of direct $PM_{2.5}$ and
5 $PM_{2.5}$ precursors. This variability is apparent in Figure IX.A.27.3. Despite the variability, if a line is fit
6 through the 24-hr data, the trend is noticeably downward and indicates an improvement of a little less
7 than one $\mu g / m^3$ per year.

8 This episodic variability is reduced by looking at annual mean values of $PM_{2.5}$ concentrations shown in
9 Figure IX.A.27.2. The data is still skewed more by winter data than summer data. It includes all of the
10 high values identified as the 98th percentiles, as well as the values ranked even higher. Still, the trend is
11 downward. Fitting a line through the data collected at the Lindon site (chosen because the monitor
12 consistently records the highest values in the NAA) reveals a trend that noticeably decreases and indicates
13 an improvement of approximately $3.0 \mu g / m^3$, over the 18-year span.



1

2 **Figure IX.A.27. 2 Provo NAA PM_{2.5} Annual Mean Concentration**



3

4 **Figure IX.A.27. 3 Provo NAA PM_{2.5} 98th Percentile of 24-hr Concentration**

5 **ii. Reduction in Emissions**

6 As stated above, EPA guidance⁹ says that the State must be able to reasonably attribute the improvement
7 in air quality to emission reductions that are permanent and enforceable. In making this showing, the State

⁹ Ibid

1 should estimate the percent reduction (from the year that was used to determine the design value)
 2 achieved by Federal measures such as motor vehicle control, as well as by control measures that have
 3 been adopted and implemented by the State.

4 As mentioned, the ambient air quality data presented in Subsection IX.A.27.b(3)(a) includes values prior
 5 to the nonattainment designation through 2018 to illustrate the lasting effect of the implemented control
 6 strategies. In discussing the effect of the controls, as well as the control measures themselves, however, it
 7 is important to keep in mind the time necessary for their implementation.

8 The moderate nonattainment SIP for the Provo NAA included a statutory date for the implementation of
 9 RACM/RACT of December 31, 2014. Thus, 2015 marked the first year in which RACM/RACT was
 10 reflected in the emissions inventories for the Provo NAA. Section 189(c) of the CAA identifies, as a
 11 required plan element, quantitative milestones which are to be achieved every three years, and which
 12 demonstrate reasonable further progress (RFP) toward attainment of the standard by the applicable date.
 13 As defined in CAA Section 171(1), the term reasonable further progress means “such annual incremental
 14 reductions in emissions of the relevant air pollutant as are required by this part or may reasonably be
 15 required by the Administrator for the purpose of ensuring attainment of the applicable national ambient
 16 air quality standard by the applicable date.” Hence, the milestone report must demonstrate that the control
 17 strategy is achieving reasonable progress toward attainment.

18 The RACM prescribed by the moderate nonattainment SIP and the subsequent implementation by the
 19 State is discussed in more detail in a milestone report submitted for the Provo NAA to EPA on March 23,
 20 2018, within the 90-day post-milestone date required by CAA 189(c)(2) and 51.1013(b). On October 24,
 21 2018, EPA sent Governor Gary Herbert a letter stating “The Environmental Protection Agency has
 22 determined that the 2017 Quantitative Milestone Reports are adequate. The basis for this determination is
 23 set forth in the enclosures. This determination is based on the EPA’s review of information contained in
 24 the Moderate Area Plans and additional information provided in the 2017 Quantitative Milestone
 25 Reports.” This approval letter is included in the TSD for this SIP submittal. Much of the downward trend
 26 in the ambient data as seen in Figures IX.A.27.2 and IX.A.27.3 is attributable to the controls implemented
 27 through the moderate SIP.

28 40 CFR 51.1011 establishes that control measures must be implemented no later than the beginning of the
 29 year containing the applicable attainment date, January 1, 2019, for the Provo NAA. Any control
 30 measures implemented beyond such date are instead regarded as additional feasible measures.
 31 Implementation schedules for point source control measures are included in Table IX.A.27.5. Emission
 32 reductions leading to lower ambient values can be observed in Figures IX.A.27.2 and IX.A.27.3, with
 33 further improvements expected beyond 2019 as a result of the more stringent BACM/BACT
 34 requirements.

Company	RACT Equipment Updates	BACT Requirements	Implementation Schedule	Quantity Reduction (tons/yr)	Compliance Mechanism
PacifiCorp Lake Side	N/A (currently at RACT)	Retention of NOx limits from existing permit:	Already Implementing (use of SCR)	N/A identical to previous existing RACT	NOx CEM

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		Block 1: 14.9 lb/hr Block 2: 18.1 lb/hr			controls and permit as written
McWane Ductile	Limiting VOC emissions 161.78 tons/yr	Replaced RACT limit w/ VOCs from finishing paint line < 1 ton/day	Already implementing	N/A	Recordkeeping requirement outlined in IX.H.13.c.i.a
	Heat input limit on annealing ovens (63.29 MMBtu/hr)	Retained RACT limit as BACT	Already implementing	N/A	General requirements of IX.H.11.c

1 **Table IX.A.27. 5 Point Source Emission Control Measure Implementation Schedule and**
 2 **Compliance Mechanism**
 3

4 As part of the Utah moderate SIPs, 24 area source rules were either introduced or augmented to control
 5 PM_{2.5} and PM_{2.5} precursors. For the serious SIP area source BACM review, each of UDAQ’s existing area
 6 source rules were re-evaluated to ensure that all appropriate source categories were addressed in
 7 rulemaking and that the level of control required is consistent with BACM. For newly identified controls
 8 or enhancement of existing controls, an evaluation was made to determine technological and economic
 9 feasibility. The BACM review resulted in revisions to 13 different area source rules which affect surface
 10 coating (for a variety of different surfaces), graphic arts, and aerospace manufacture & rework facilities.
 11 The rules and amendments are listed in Table IX.A.27.4. Table IX.A.27.6 shows the effectiveness of the
 12 area source rules within Provo NAA.

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2017 PROVO NAA lb/day					
Area Source Rule Name	NOX	VOC	NH3	SO2	PM2.5
R307-342 adhesive/sealants	0.0	393.5	0.0	0.0	0.0
R307-355 aerospace manufacture & rework	0.0	0.0	0.0	0.0	0.0
R307-312 aggregate processing	0.0	22.8	0.0	0.0	0.0
R307-347 appliance surface coating	0.0	0.0	0.0	0.0	0.0
R307-354 automotive refinishing	0.0	154.6	0.0	0.0	0.0
R307-352 metal container, closure, & coil coating	0.0	0.0	0.0	0.0	0.0
R307-303 commercial cooking	0.0	282.4	0.0	0.0	0.0
R307-357 consumer products	0.0	1478.3	0.0	0.0	0.0
R307-335 degreasing & solvent cleaning	0.0	0.0	0.0	0.0	0.0
R307-349 flat wood panel coatings	0.0	0.0	0.0	0.0	0.0
R307-309 fugitive dust	0.0	0.0	0.0	0.0	916.3
R307-351 graphic arts	0.0	0.0	0.0	0.0	0.0
R307-208 outdoor wood boilers	3.4	111.4	2.8	3.4	105.8
R307-221 landfill controls	0.0	63.2	0.0	0.0	0.0
R307-348 magnet wire coatings	0.0	0.0	0.0	0.0	0.0
R307-346 metal furniture surface coating	0.0	0.0	0.0	0.0	0.0
R307-350 misc metal parts & product coating	0.0	0.0	0.0	0.0	0.0
R307-361 architectural coating	0.0	2178.6	0.0	0.0	0.0
R307-344 paper/film/foil coating	0.0	0.0	0.0	0.0	0.0
R307-356 appliance pilot light	494.3	28.9	0.0	3.2	2.3
R307-353 plastic parts coating	0.0	0.0	0.0	0.0	0.0
R307-302 residential wood burning ban	321.7	2659.7	100.0	38.0	2330.4
R307-230 water heaters	0.0	0.0	0.0	0.0	0.0
R307-343 wood furniture manufacturing	0.0	0.0	0.0	0.0	0.0
Total emissions reduced lb/day	819.4	7373.5	102.8	44.6	3354.8

1

2026 PROVO NAA lb/day					
Area Source Rule Name	NOX	VOC	NH3	SO2	PM2.5
R307-342 adhesive/sealants	0.00	608.95	0.00	0.00	0.00
R307-355 aerospace manufacture & rework	0.00	0.22	0.00	0.00	0.00
R307-312 aggregate processing	0.00	23.49	0.00	0.00	0.00
R307-347 appliance surface coating	0.00	48.12	0.00	0.00	0.00
R307-354 automotive refinishing	0.00	479.11	0.00	0.00	0.00
R307-352 metal container, closure, & coil coating	0.00	0.00	0.00	0.00	0.00
R307-303 commercial cooking	0.00	18.23	0.00	0.00	0.00
R307-357 consumer products	0.00	1829.57	0.00	0.00	0.00
R307-335 degreasing & solvent cleaning	0.00	483.36	0.00	0.00	0.00
R307-349 flat wood panel coatings	0.00	16.68	0.00	0.00	0.00
R307-309 fugitive dust	0.00	0.00	0.00	0.00	1118.72
R307-351 graphic arts	0.00	315.66	0.00	0.00	0.00
R307-208 outdoor wood boilers	3.20	108.40	2.80	3.20	103.00
R307-221 landfill controls	0.00	78.17	0.00	0.00	0.00
R307-348 magnet wire coatings	0.00	0.31	0.00	0.00	0.00
R307-346 metal furniture surface coating	0.00	99.92	0.00	0.00	0.00
R307-350 misc metal parts & product coating	0.00	64.83	0.00	0.00	0.00
R307-361 architectural coating	0.00	2696.22	0.00	0.00	0.00
R307-344 paper/film/foil coating	0.00	350.49	0.00	0.00	0.00
R307-356 appliance pilot light	2992.74	139.35	0.00	15.20	10.89
R307-353 plastic parts coating	0.00	125.97	0.00	0.00	0.00
R307-302 residential wood burning ban	312.68	2589.01	98.03	36.62	2268.45
R307-230 water heaters	2620.83	0.00	0.00	0.00	0.00
R307-343 wood furniture manufacturing	0.00	408.39	0.00	0.00	0.00
Total emissions reduced lb/day	5929.4	10484.4	100.8	55.0	3501.1

Table IX.A.27. 6 Area Source Rule Emissions Reduction in Provo NAA

In reality, the NAAs should expect to see continued improvement in the next five to ten years as a result of the phase-in period of a number of the area source rules and some additional feasible measures installed at point sources. For example, the gas-fired water heater rule R307-230 requires that only ultra-low NO_x gas-fired water heaters to be sold or installed after July 1, 2018, but it takes years for water heater turnover to occur. In addition, the 13 rules that were revised during the serious SIP BACM review were implemented at the state level in 2018 and have a five-year phase-in period, resulting in full emission reduction by 2023. Therefore, additional emissions reductions will be seen. These phase-in periods were considered in the inventories used for modeling in this SIP.

Existing controls not implemented through the SIP process also affect the emission rates from non-stationary source categories. The federal motor vehicle control program has been one of the most significant control strategies affecting emissions that produce PM_{2.5}. Tier 1 and 2 standards were implemented by 1997 and 2008 respectively. Tier 3 vehicle/engine standards were initiated with new vehicles coming to market in 2017 (25% of new sales) with full phase in by 2021 (100% of new sales). For gasoline, the five Wasatch Front refineries and the Sinclair refinery in Wyoming that also supplies gasoline to the Wasatch Front market, are considered small refineries by EPA's rule. As such, these

1 refineries have a tier 3 delayed implementation date of January 1, 2020 to produce a tier 3 (10 ppm sulfur)
2 gasoline product or produce a gasoline product (greater than 10 ppm sulfur) with compensating sulfur
3 credits. Similarly, the Heavy-Duty Engine and Vehicle Standards took effect in 2007 and were fully
4 phased in by 2010. Air quality benefits, particularly those stemming from the light-duty and heavy-duty
5 vehicle standards, continue to be realized as older, higher-polluting vehicles are replaced by newer,
6 cleaner vehicles.

7 To supplement the federal motor vehicle control program, an Inspection and Maintenance Program was
8 implemented in Utah County. This program has been effective in identifying vehicles that no longer meet
9 the emission standards for their respective makes and models and in ensuring that those vehicles are
10 repaired in a timely manner.

11 Emissions from non-road mobile emission sources also benefit from several significant regulatory
12 programs enacted at the federal level. This category of emitters includes airplanes, locomotives, hand-
13 held engines, and larger portable engines such as generators and construction equipment. The
14 effectiveness of these controls has been incorporated into the “NONROAD” model UDAQ uses to
15 compile the inventory information for this source category.

16 The emissions reductions resulting from federal programs and the RACM/RACT plus BACM/BACT
17 controls incorporated into the Utah SIP or promulgated at the State level, result in emissions reductions
18 that are consistent with the notion of permanent and enforceable improvements in air quality. Taken
19 together with the trends in ambient air quality illustrated in the previous paragraph, along with the
20 continued implementation of the nonattainment SIP elements for the Provo NAA, they provide a reliable
21 indication that these improvements in air quality reflect the application of permanent steps to improve the
22 air quality in the region.

23 **d) State has Met Requirements of Section 110 and Part D**

24 *CAA 107(d)(3)(E)(v) - The State containing such area has met all requirements applicable to the area*
25 *under section 110 and part D. Section 110 of the Act deals with the broad scope of state implementation*
26 *plans and the capacity of the respective state agency to effectively administer such a plan. Part D deals*
27 *specifically with plan requirements for nonattainment areas, including those requirements that are specific*
28 *to PM_{2.5}.*

29 **i. Section 110**

30 The State has met all requirements applicable to the Provo NAA under Section 110 of the Act. Section
31 110(a)(2) contains the general requirements or infrastructure elements necessary for EPA approval of the
32 SIP. On September 21, 2010, the State submitted an Infrastructure SIP to EPA demonstrating compliance
33 with the requirements of Section 110 that are applicable to the 2006 PM_{2.5} NAAQS. EPA approved the
34 State’s Infrastructure SIP on November 25, 2013 (78 FR 63883) for all Section 110 requirements that are
35 applicable to redesignation.

36 **ii. Part D Subpart 1 and 4**

37 Part D of the Act addresses “Plan Requirements for Nonattainment Areas.” Subparts 1 and 4 of Part D
38 contain planning elements that must be included in the SIP. This includes the requirement to submit an

1 attainment demonstration, reasonable further progress plans, quantitative milestones and milestone
 2 reports, a motor vehicle emission budget for the attainment year for the purposes of transportation
 3 conformity, and contingency measures for the area. However, upon EPA’s issuance of a final clean data
 4 determination demonstrating that the Provo NAA has attained the standard, these requirements are
 5 suspended (40 C.F.R. § 51.1015(b) and 84 FR 26054).

6 The remaining Part D requirements that are relevant to redesignation are requirements that are
 7 independent of helping the area achieve attainment. This includes the requirement to have a
 8 nonattainment new source review (“NNSR”) program, emissions inventory submission, and
 9 implementation of BACM/BACT. The State has satisfied these remaining requirements. Utah’s NNSR
 10 program can be found in Utah Administrative Rule R307-403, Permits: New and Modified Sources in
 11 Nonattainment Areas and Maintenance Areas. EPA fully approved the current version of the NNSR
 12 program on July 25, 2019 (84 FR 35832). The BACM/BACT requirements and the emissions inventory
 13 were included in the serious SIP element submittal for the Provo NAA that the State submitted to the
 14 EPA on February 4, 2019. Upon EPA’s approval of these elements prior to or concurrently with EPA’s
 15 action on the maintenance plan/redesignation request, Utah will have complied with all applicable Part D
 16 requirements.

17 **e) Maintenance Plan for PM_{2.5} Areas**

18 As stated in the Act, an area may not be redesignated to attainment without first submitting and receiving
 19 EPA approval of a maintenance plan. The maintenance plan is a quantitative showing that the area will
 20 continue to attain the NAAQS for an additional 10 years (from EPA approval), accompanied by sufficient
 21 assurance that the terms of the numeric demonstration will be administered by the State and by the EPA
 22 in an oversight capacity. The maintenance plan is the central criterion for redesignation. It is contained in
 23 the following subsection.

24 **IX.A.27.c Maintenance Plan**

25 *CAA 107(d)(3)(E)(iv) - The Administrator has fully approved a maintenance plan for the area as meeting*
 26 *the requirements of section 175A.* An approved maintenance plan is one of several criteria necessary for
 27 area redesignation as outlined in Section 107(d)(3)(E) of the Act. The maintenance plan itself, as
 28 described in Section 175A of the Act and further addressed in EPA guidance¹⁰ has its own list of required
 29 elements. The following table is presented to summarize these requirements. Each will then be addressed
 30 in turn.

Category	Requirement	Reference	Addressed in Section
Maintenance demonstration	Provide for maintenance of the relevant NAAQS in the area for at least 10 years after redesignation.	CAA: 175A(a)	IX.A.27.c (1)
Revise in 8 Years	The State must submit an additional revision to the plan, 8 years after	CAA: 175A(b)	IX.A.27.c (6)

¹⁰ Calcagni (n 3)

	redesignation, showing an additional 10 years of maintenance.		
Continued Implementation of Nonattainment Area Control Strategy	The Clean Air Act requires continued implementation of the NAA control strategy unless such measures are shown to be unnecessary for maintenance or are replaced with measures that achieve equivalent reductions.	CAA: 175A(c), 110(l), Calcagni memo	IX.A.27.c (5)
Contingency Measures	Areas seeking redesignation from nonattainment to attainment are required to develop contingency measures that include State commitments to implement additional control measures in response to future violations of the NAAQS.	CAA: Sec 175A(d)	IX.A.27.c (8)
Verification of Continued Maintenance	The maintenance plan must indicate how the State will track the progress of the maintenance plan.	Calcagni memo	IX.A.27.c (7)

1 **Table IX.A.27. 7 CAA Maintenance Plan Requirements**

2 **(1) Demonstration of Maintenance - Modeling Analysis**

3 *CAA 175A(a) - Each State which submits a request under section 107(d) for redesignation of a*
 4 *nonattainment area as an area which has attained the NAAQS shall also submit a revision of the*
 5 *applicable implementation plan to provide for maintenance of the NAAQS for at least 10 years after the*
 6 *redesignation. The plan shall contain such additional measures, if any, as may be required to ensure*
 7 *such maintenance. The maintenance demonstration is discussed in EPA guidance¹¹ as one of the core*
 8 *provisions that should be considered by states for inclusion in a maintenance plan.*

9 According to the EPA guidance, a State may generally demonstrate maintenance of the NAAQS by
 10 either showing that future emissions of a pollutant or its precursors will not exceed the level of the
 11 attainment inventory (discussed below) or by modeling to show that the future mix of sources and
 12 emission rates will not cause a violation of the NAAQS. Utah has elected to make its demonstration
 13 based on air quality modeling.

14 **(a) Introduction**

15 The following chapter presents an analysis using observational datasets to detail the chemical regimes of
 16 Utah’s NAAs. Prior to the develop of this maintenance plan, UDAQ conducted a technical analysis to
 17 support the development of the serious SIP for the SLC NAA. The analysis included preparation of
 18 emissions inventories and meteorological data, and the evaluation and application of a regional
 19 photochemical model. Part of this process included episode selection to determine the episode that most
 20 accurately replicates the photochemical formation of ambient PM_{2.5} during a persistent cold air pool
 21 episode in the airshed. For this maintenance plan, UDAQ is using the same episode that was used for the
 22 serious SIP modeling.

¹¹ Ibid

1 (b) Photochemical Modeling

2 UDAQ used the Comprehensive Air Quality Model with Extensions (CAMx) version 6.30 for air quality
3 modeling. CAMx v6.30 is a state-of-the-art air quality model that includes State of Utah funded
4 enhancements for wintertime modeling. These enhancements include snow chemistry, topographical and
5 surface albedo refinements. CAMx is an EPA approved model for use in SIP modeling. Its configuration
6 for use in this SIP, with respect to model options and model adjustments, is discussed in the Technical
7 Support Document.

8 i. Emissions Preparation

9 The emissions processing model used in conjunction with CAMx is the Sparse Matrix Operator Kernel
10 Emissions Modeling System (SMOKE) version 3.6.5¹². SMOKE prepares the annual emissions inventory
11 for use in the air quality model. There are three aspects to the preparation of an annual emissions
12 inventory for air quality modeling:

- 13 • Temporal: Convert emissions from annual to daily, weekly and hourly values.
- 14 • Spatial: Convert emissions from a county-wide average to gridded emissions.
- 15 • Speciation: Decompose PM_{2.5} and VOC emissions estimates into individual subspecies using the
16 latest Carbon Bond 6 speciation profiles.

17 The process of breaking down emissions for the air quality model was done with sets of activity profiles
18 and associated cross reference files. These are created for point or large industrial source emissions,
19 smaller area sources, and mobile sources. Direct PM_{2.5} and PM_{2.5} precursor estimates were modified via
20 temporal profiles to reflect wintertime conditions.

21 Activity profiles and their associated cross reference files from the EPA's 2011v6¹³ modeling platform
22 were used. For stationary non-point and mobile sources, spatial surrogates from the EPA Clearinghouse
23 for Inventories and Emissions Factors (CHIEF¹⁴) were used to distribute emissions in space across the
24 modeling domain. Emissions from large industrial sources (point sources) were placed at the location of
25 the source itself. Where reliable local information was available (population density, traffic demand
26 modeling, residential heating), profiles and surrogates were modified or developed to reflect that
27 information.

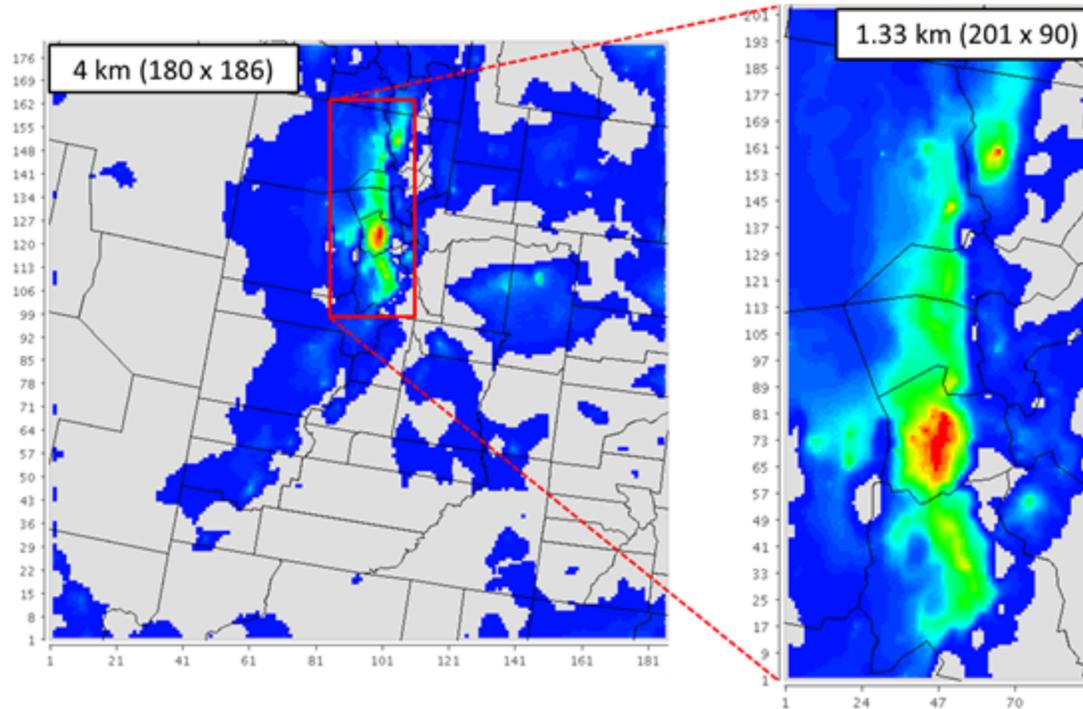
28 ii. Photochemical Modeling Domains and Grid Resolution

29 The UDAQ CAMx v6.30 modeling framework consists of two spatial domains: a high-resolution 1.33 km
30 domain nested inside of a coarser 4 km domain (see Figure IX.A.27.4). This configuration allows one to
31 efficiently integrate regional effects with local impacts within the Provo NAA. Vertical resolution in the
32 model consists of 41 layers extending to the top of the atmosphere.

¹² <https://www.cmascenter.org/smoke/>

¹³ <https://www.epa.gov/air-emissions-modeling/2011-version-6-air-emissions-modeling-platforms>

¹⁴ <https://www.epa.gov/chief>



1

2 **Figure IX.A.27. 4 CAMx Photochemical Modeling Domains in Two-Way Nested**
 3 **Configuration**

4

5 The UDAQ 4 km coarse domain covers the entire state of Utah, a significant portion of Eastern Nevada
 6 (including Las Vegas), as well as smaller portions of Idaho, Wyoming, Colorado, and Arizona. The fine
 7 1.33 km domain covers all of Utah's three PM_{2.5} nonattainment areas, including the Provo NAA.
 8 Throughout this document, we will refer to the fine 1.33 km domain as the "modeling domain" when the
 9 coarse domain is not specified.

10 **iii. Meteorological Data**

11 Meteorological modeling was carried out by the University of Utah (University) with financial support
 12 from UDAQ.

13 Meteorological inputs were derived using the Weather Research and Forecasting¹⁵ (WRF) Advanced
 14 Research WRF (WRF-ARW) model to prepare meteorological datasets for our use with the
 15 photochemical model. WRF contains separate modules to compute different physical processes such as
 16 surface energy budgets and soil interactions, turbulence, cloud microphysics, and atmospheric radiation.
 17 Within WRF, the user has many options for selecting the different schemes for each type of physical
 18 process. There is also a WRF Preprocessing System (WPS) that generates the initial and boundary

¹⁵ <https://www.mmm.ucar.edu/weather-research-and-forecasting-model>

1 conditions used by WRF, based on topographic datasets, land use information, and larger-scale
2 atmospheric and oceanic models.

3 Model performance of WRF was assessed against observations at sites maintained by the University.
4 WRF has reasonable ability to replicate the vertical temperature structure of the boundary layer (i.e., the
5 temperature inversion), although it is difficult for WRF to reproduce the inversion when the inversion is
6 shallow and strong (i.e., an 8-degree temperature increase over 100 vertical meters). A summary of the
7 performance evaluation results for WRF is included in the TSDs.

8 **iv. Episode Selection**

9 Part of the modeling exercise involves a test to see whether the model can successfully replicate the PM_{2.5}
10 mass and composition that was observed during prior episode(s) of elevated PM_{2.5} concentration. The
11 selection of an appropriate episode, or episodes, for use in this exercise requires some forethought and
12 should determine the meteorological episode that helps produce the best air quality modeling
13 performance.

14 EPA Guidance¹⁶ identifies some selection criteria that should be considered for SIP modeling, including:

- 15 • Select episodes that represent a variety of meteorological conditions that lead to elevated PM_{2.5}.
- 16 • Select episodes during which observed concentrations are close to the baseline design value.
- 17 • Select episodes that have extensive air quality data bases.
- 18 • Select enough episodes such that the model attainment test is based on multiple days at each
19 monitor violating NAAQS.

20
21 After careful consideration, the following meteorological episodes were selected as candidates for Utah's
22 SIP modeling:

- 23 • January 1-10, 2011
- 24 • December 7-19, 2013
- 25 • February 1-16, 2016

26
27 In addition to the criteria identified in the modeling guidance, each of these candidate episodes may be
28 characterized as having the following atmospheric conditions:

- 29 • Nearly non-existent surface winds
- 30 • Light to moderate winds aloft (wind speeds at mountaintop < 10-15 m/s)
- 31 • Simple cloud structure in the lower troposphere (e.g., consisting of only one or no cloud layer)
- 32 • Singular 24-hour PM_{2.5} peaks suggesting the absence of weak intermittent storms during the
33 episode

34

¹⁶ Environmental Protection Agency. April 2007. Guidance on the Use of Models and Other Analyses for Demonstrating Attainment of Air Quality Goals for Ozone, PM_{2.5}, and Regional Haze.

1 Previous work conducted by the University of Utah and UDAQ showed the four conditions listed above
2 improve the likelihood for successfully simulating wintertime persistent cold air pools in the WRF
3 model¹⁷. A comprehensive discussion of the meteorological model performance for all three episodes may
4 be found in the Technical Support Document for the meteorological modeling¹⁸.

5 **a) Model Adjustments and Settings**

6 In order to better simulate Utah's winter-time inversion episodes six different adjustments were made to
7 CAMx input data:

- 8 1. Increased vertical diffusion rates (Kvpatch).
- 9 2. Lowered residential wood smoke emissions to reflect burn ban compliance during forecasted high
10 PM_{2.5} days (burn ban).
- 11 3. Ozone deposition velocity set to zero and increased urban area surface albedo (snow chemistry)
- 12 4. Cloud water content reduced during certain days (cloud adjustment).
- 13 5. NH₃ injection to account for missing NH₃ sources in UDAQ's inventory. This is defined as
14 artificially adding non-inventoried NH₃ emissions to the inventoried emissions that are input into
15 CAMx.
- 16 6. Reduced the dry deposition rate of NH₃ by setting NH₃ Rscale to 1. Rscale is a parameter in
17 CAMx that reflects surface resistance.
- 18 7. Applied a 93% reduction to paved road dust emissions.

19
20 Depending on the episode, different adjustments were applied. All adjustments were applied to the
21 January 2011 episode while select adjustments were applied to the other two episodes.

22 Kvpatch improved overall model performance by enhancing vertical mixing over urban areas. Snow
23 chemistry modifications, which included reducing ozone deposition velocity and increasing surface
24 albedo over urban areas, helped improve the model performance by better representing secondary
25 ammonium nitrate formation during winter-time inversion episodes in Utah.

26 Cloud adjustments were only applied to the January 2011 episode, which was characterized by cloud
27 cover on January 6-8 over the Salt Lake and Utah valleys. This cloud cover led to a high bias in sulfate
28 due to the effect of NH₃ on the gas-to-particle partitioning of sulfate in clouds. Application of the cloud
29 adjustment scheme helped reduce this bias.

30 Rscale modification and burn ban adjustments were also only applied to the January 2011 episode. The
31 burn ban adjustments reflect the compliance rate with the state's two-stage policy ban on wood-burning.

32 A 93% reduction in paved road dust emissions was only applied to the January 2011 emissions. This
33 adjustment helped improve the model performance for crustal material.

¹⁷ <https://www.mmm.ucar.edu/weather-research-and-forecasting-model>

¹⁸ <https://documents.deq.utah.gov/air-quality/planning/technical-analysis/research/model-improvements/3-wintertime-episodes/DAQ-2017-014342.pdf>

b) Episodic Model Performance

Shown below for each of three episodes are the CAMx performance results for total 24-hour PM_{2.5} mass and PM_{2.5} chemical species, including nitrate (NO₃), sulfate (SO₄), ammonium (NH₄), organic carbon (OC), elemental carbon (EC), chloride (Cl), sodium (Na), crustal material (CM) and other species (other mass).

January 1-10, 2011

A comparison of 24-hr modeled and observed PM_{2.5} during January 1-10, 2011 at the Lindon monitoring station in the Provo NAA showed that overall the model captures the temporal variation in PM_{2.5} well (Figure IX.A.27.5). The gradual increase in PM_{2.5} concentration and its transition back to low levels are generally well reproduced by the model. Moreover, with the exception of January 3-5, the bias between measured and modeled PM_{2.5} is overall relatively small, particularly on PM_{2.5} exceedance days. The large bias on January 3-5 can be mainly related to the meteorological model performance on these days where jet wind speeds were overestimated in the WRF model¹⁹.

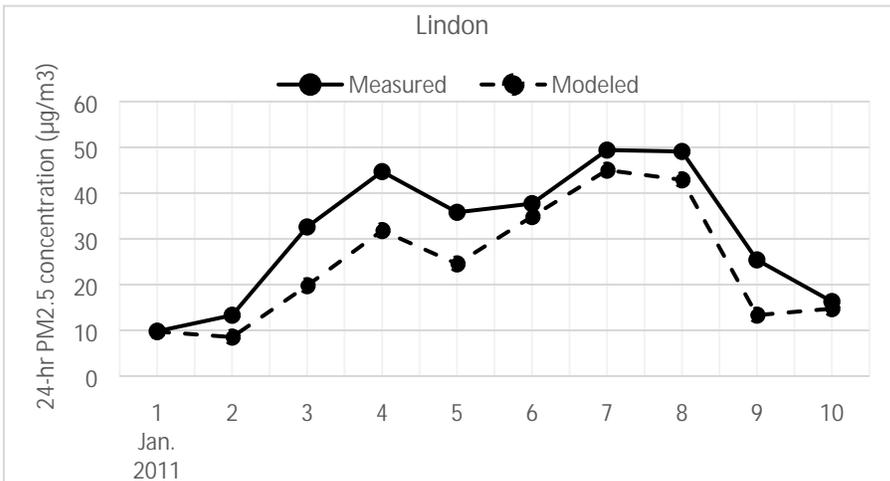


Figure IX.A.27. 5 Measured and Modeled 24-hr PM_{2.5} Concentrations During January 1-10 2011 at Lindon Monitoring Station in Provo NAA

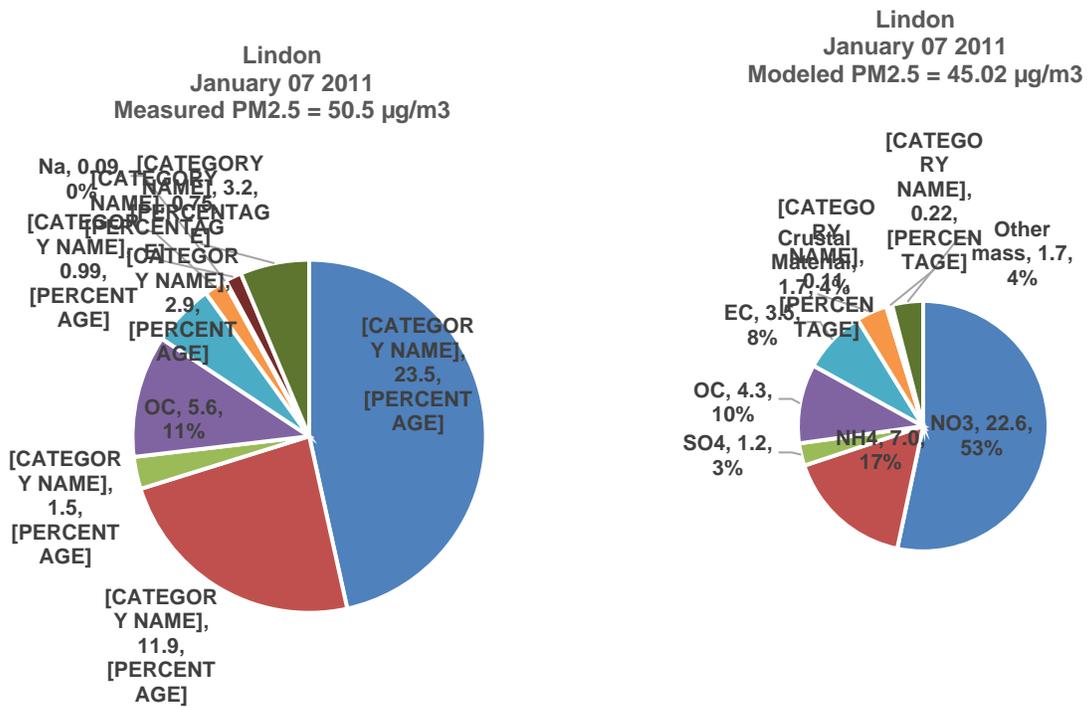
The model performance for PM_{2.5} chemical species was also good for this episode as indicated by a comparison of measured and modeled PM_{2.5} chemical composition at the Lindon monitoring station on January 7, which corresponds to a PM_{2.5} exceedance day. The model performance for particulate nitrate, which is the major component of PM_{2.5}, was good, with both modeled and measured NO₃ accounting for similar contributions to PM_{2.5} filter mass. Modeled and observed nitrate concentrations were also in close agreement, accounting for 22.6 and 23.5 µg /m³ of PM_{2.5} mass, respectively.

¹⁹ <https://documents.deq.utah.gov/air-quality/planning/technical-analysis/research/model-improvements/3-wintertime-episodes/DAQ-2017-014342.pdf>

1 The model, on the other hand, was biased low for NH₄ by about 41%. The model performance for
2 particulate sulfate was good, with sulfate being biased low in the model by about 20%. The model
3 performance for OC and EC was also reasonably good for January 7, with modeled concentrations being
4 biased by approximately 20%. The model was biased high for CM by approximately 70%. Additional
5 detail can be found in the TSD.

Lindon
 December 12 2013
 Measured PM2.5 = 45.9 ug/m3

1
 2



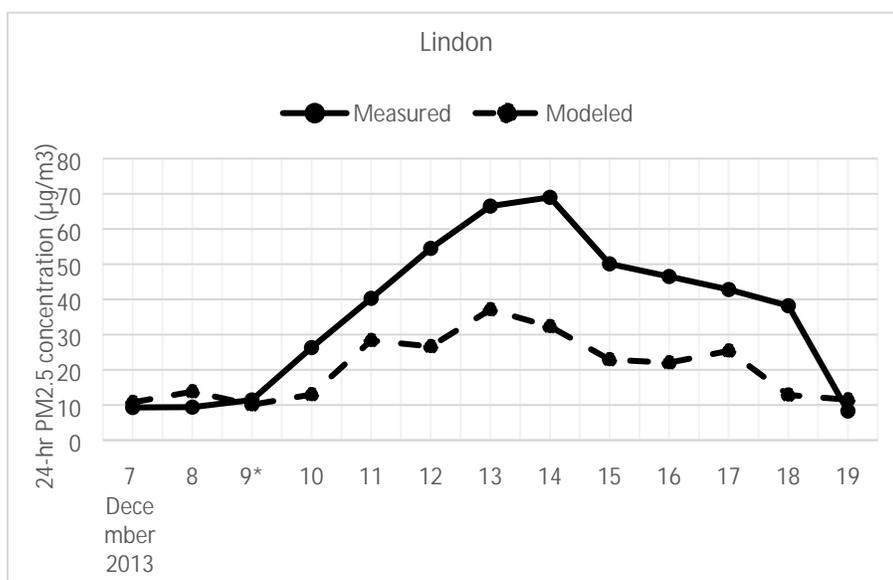
3
 4
 5
 6

Figure IX.A.27. 6 a) Measured and b) Modeled to Chemical Composition of PM_{2.5} at Lindon Monitoring Station in the Provo NAA on January 7, 2011

1 Overall, the model simulated well the timing and strength of the capping inversion during this January
 2 episode. $PM_{2.5}$ chemical species, particularly NO_3 and NH_4 , are also well simulated in the model,
 3 suggesting that this episode is suitable for modeling.

4 *December 7-19, 2013*

5 The model performance for the December 7-19, 2013, episode was first evaluated for 24-hr $PM_{2.5}$ mass. A
 6 comparison of modeled and measured 24-hr $PM_{2.5}$ during this period showed that, while the model
 7 generally represented well the temporal variation in $PM_{2.5}$, the model simulated low $PM_{2.5}$ concentrations
 8 compared to measurements (Figure IX.A.27.7). This is likely related to a warm model temperature bias in
 9 the Utah Valley between December 10-14 due to inadequate simulation of stratus cloud formation during
 10 December 12-14 and inadequate representation of the surface of the Utah Lake. Although frozen in reality
 11 during this December episode, the surface of the Utah lake was not represented as frozen in the model²⁰.



12

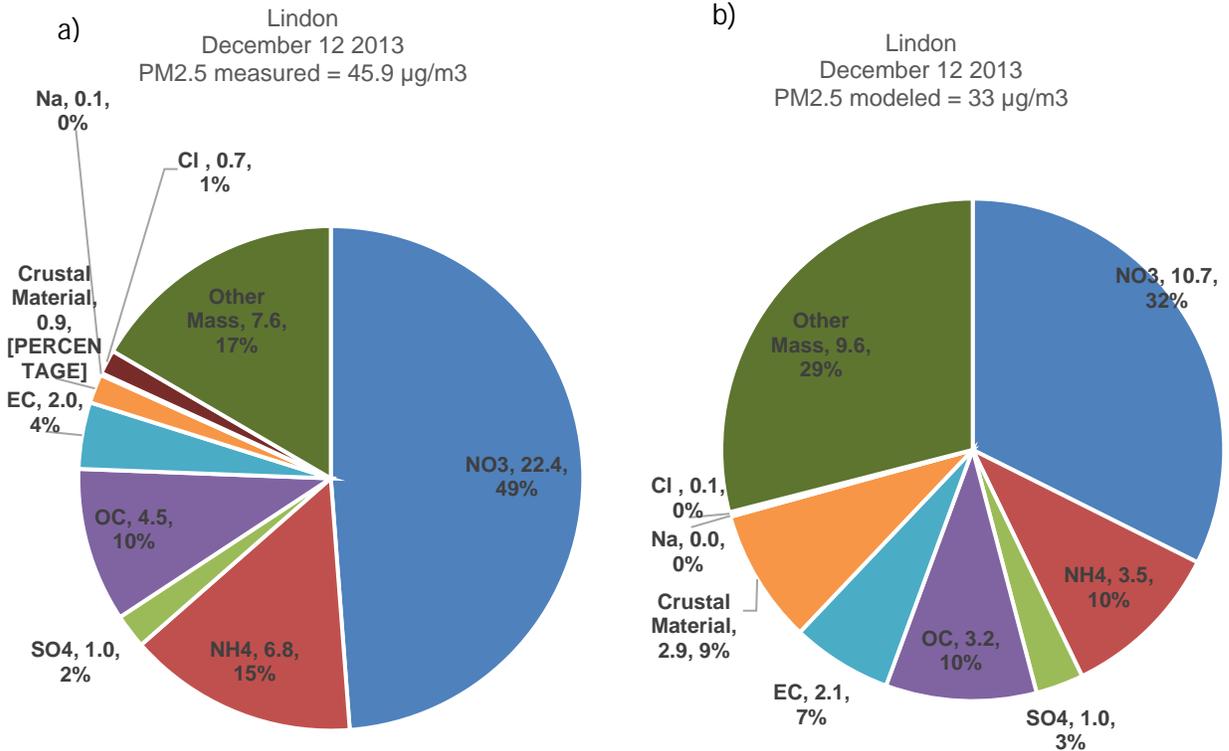
13 **Figure IX.A.27. 7 Measured and Modeled 24-hr $PM_{2.5}$ Concentrations During December 7-**
 14 **19, 2013, at Lindon Monitoring Station in the Provo NAA**

15 * Federal Reference Monitor (FRM) data is missing for this day. Reported measurement corresponds to
 16 data collected with a continuous $PM_{2.5}$ instrument.

17 To further evaluate the model performance during this episode, modeled and measured $PM_{2.5}$ chemical
 18 species on December 12, which corresponds to a $PM_{2.5}$ exceedance day with available speciation
 19 measurements, were compared (Figure IX.A.27.8). NO_3 , NH_4 , and OC are all underpredicted in the
 20 model, which is possibly related to the meteorological model performance. The WRF model
 21 overpredicted surface temperatures, leading to increased mixing and therefore reduction in
 22 concentrations. Moreover, similarly to the model performance for the January 2011 episode, crustal

²⁰ <https://documents.deq.utah.gov/air-quality/planning/technical-analysis/research/model-improvements/3-wintertime-episodes/DAQ-2017-014342.pdf>

1 material is overpredicted in the model. An adjustment to paved road dust emissions was not applied for
 2 the December 2013 simulations.
 3 Given that the strength of the capping inversion was not well simulated in the meteorological model,
 4 selection of the December 2013 episode as modeling episode for modeling demonstration is not desirable.

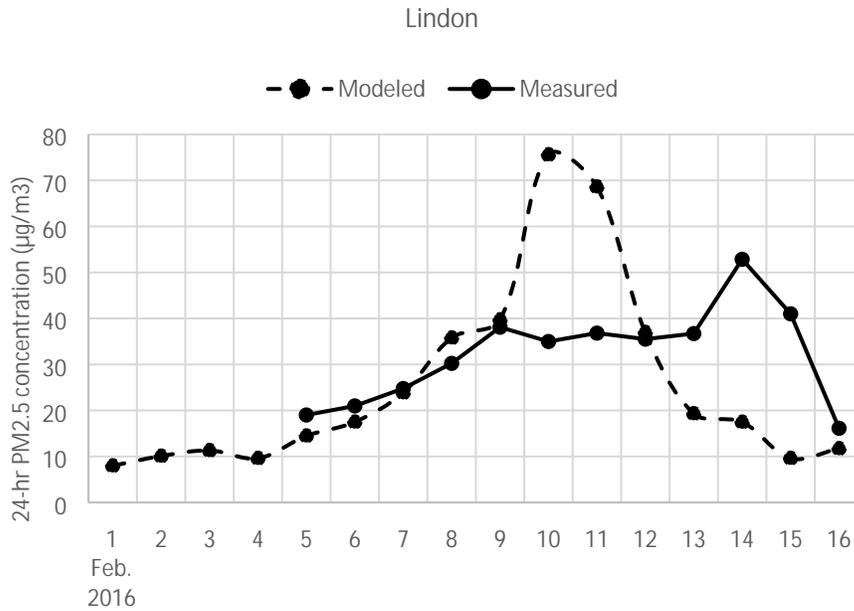


5
 6 **Figure IX.A.27. 8 a) Measured and b) Modeled Chemical Composition of 24-hr PM_{2.5} in**
 7 **µg/m³ and % of PM_{2.5} at Lindon Monitoring Station in Provo NAA on December 12, 2013**
 8

9 *February 1-16, 2016*

10 A comparison of modeled and measured 24-hr PM_{2.5} at the Lindon monitoring station in the Provo NAA
 11 during February 1-16, 2016 showed that peak PM_{2.5} concentrations are not well simulated in the model
 12 (Figure IX.A.27.9). The increase in PM_{2.5} is not well represented in the model, with PM_{2.5} concentrations
 13 building up then dropping prematurely in the model. The model also failed at capturing the observed
 14 PM_{2.5} peak on February 14. These results can be attributed to the meteorological model performance. A
 15 warm modeled temperature bias in the Utah Valley due to early snow melt-out in the model as well as

1 premature dissipation of simulated clouds likely contributed to increased mixing and early dispersion of
 2 PM_{2.5} in the model²¹.



3

4 **Figure IX.A.27. 9 Measured and Modeled 24-hr PM_{2.5} Concentrations During February 1-**
 5 **16, 2016 at Lindon Monitoring Station in the Provo NAA. FRM data was missing for all**
 6 **episode days. Reported measurements correspond to data collected with a continuous**
 7 **PM_{2.5} instrument.**

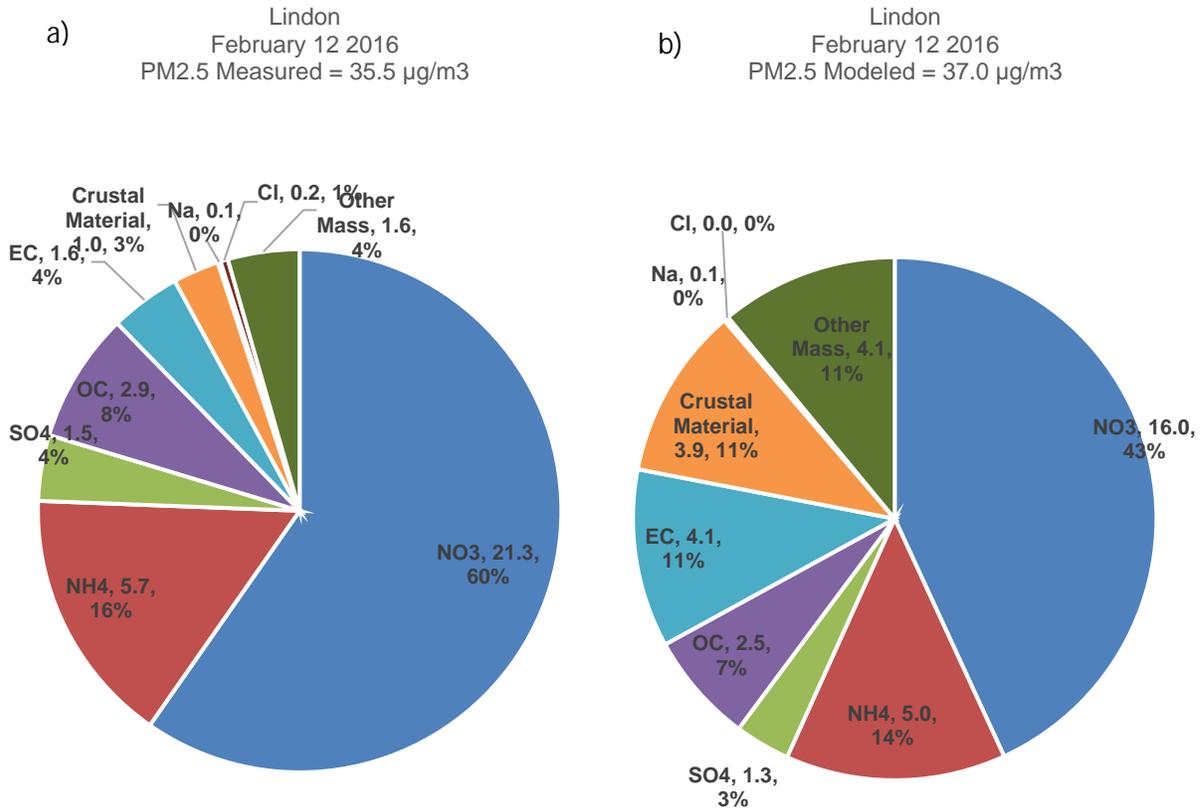
8

9 The model performance for this episode was further assessed for PM_{2.5} bulk chemical species on February
 10 12, which corresponds to a PM_{2.5} exceedance day (Figure IX.A.27.10). NO₃, a major component of PM_{2.5},
 11 was underpredicted by about 25% in the model. Moreover, similarly to the model performance for the two
 12 other meteorological episodes, EC and crustal material were overestimated in the model. The model
 13 performance for all other species was overall acceptable.

14 Although the chemical composition of PM_{2.5} on February 12 is overall well reproduced by the model, the
 15 timing in PM_{2.5} peaks was generally poorly represented, suggesting that this episode not suitable for
 16 modeling.

17

²¹ <https://documents.deq.utah.gov/air-quality/planning/technical-analysis/research/model-improvements/3-wintertime-episodes/DAQ-2017-014342.pdf>



1

2 **Figure IX.A.27. 10 a) Measured and b) Modeled Chemical Composition of PM_{2.5} in µg/m³**
 3 **and % of PM_{2.5} at Lindon in the Provo NAA on February 12, 2016**

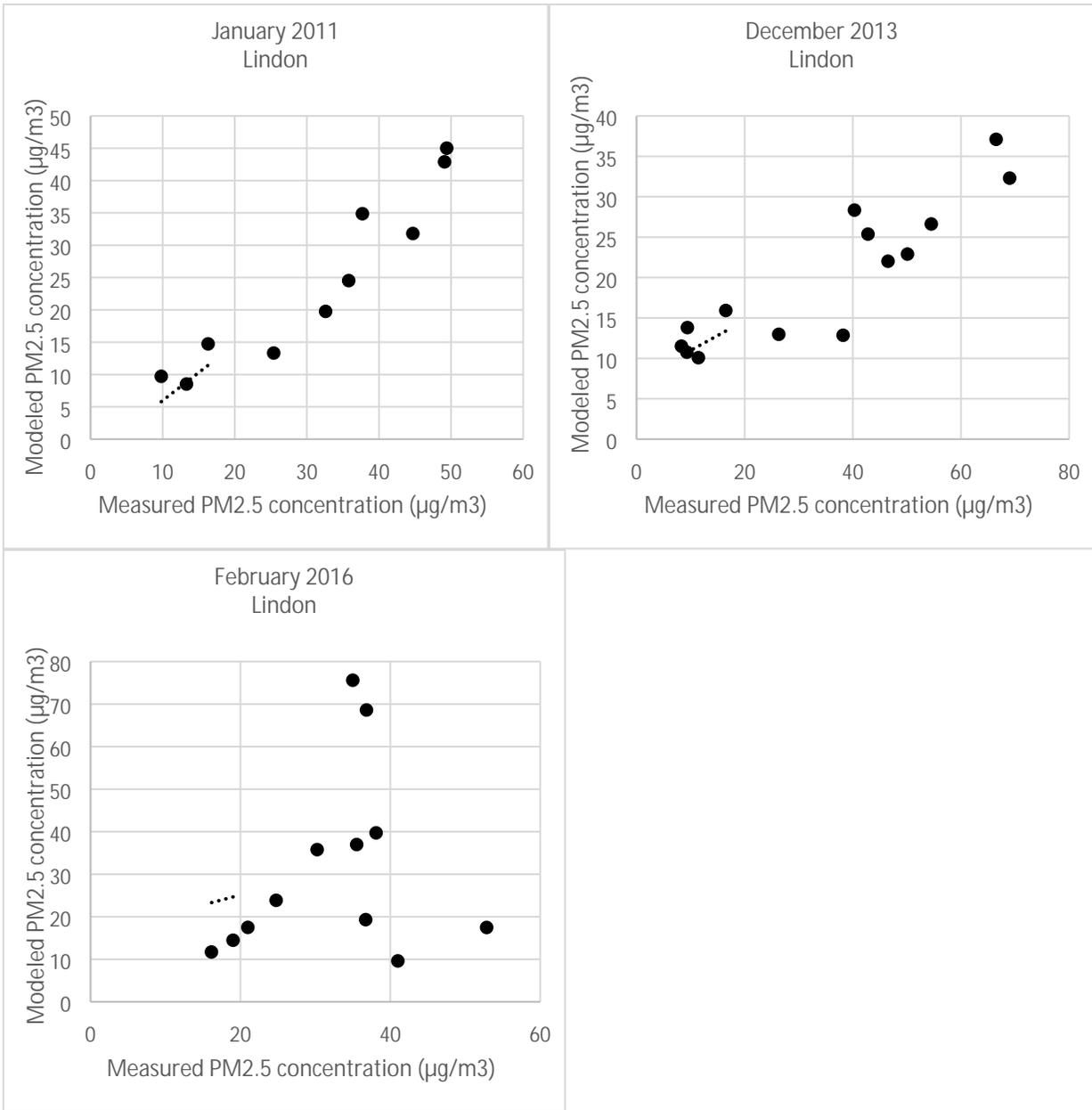
4

5 *Conclusion*

6 Examining the PM_{2.5} model performance for all three episodes, it is clear that CAMx performed best
 7 when using the January 2011 WRF output, which was specifically calibrated to the meteorological
 8 conditions experienced during January 2011, a period that coincided with an exhaustive field campaign
 9 (Persistent Cold Air Pool Study (PCAPS)²²). This was further confirmed by a linear regression analysis
 10 that showed that modeled and measured PM_{2.5} at Lindon monitoring station were more strongly correlated
 11 during the January 2011 episode (R² = 0.89) compared to the other episodes (R² = 0.05 and 0.81) (Figure
 12 IX.A.27.11). They also displayed a slope that is close to unity (0.87) for the January 2011 episode, further
 13 indicating their close agreement and good model performance when using the 2011 WRF output.

14

²² <http://www.pcaps.utah.edu/>



1

2

3 **Figure IX.A.27. 11 Modeled vs. Measured 24-hr PM_{2.5} at Lindon Monitoring Station for**
 4 **Each of the Three Modeling Episodes: January 2011, December 2013, and February 2016.**
 5 **Dots represent each individual day of the modeling episode. Linear regression fits**
 6 **(dashed line) and equation are shown for each episode.**

7

8 Given that the January 2011 WRF data produced superior model performance when compared with the
 9 other two episodes, UDAQ selected the January 2011 episode to conduct its modeled maintenance
 10 demonstration work. A more thorough discussion is provided in the TSD.

11

1

2 (c) Photochemical Model Performance Evaluation*3 Introduction*

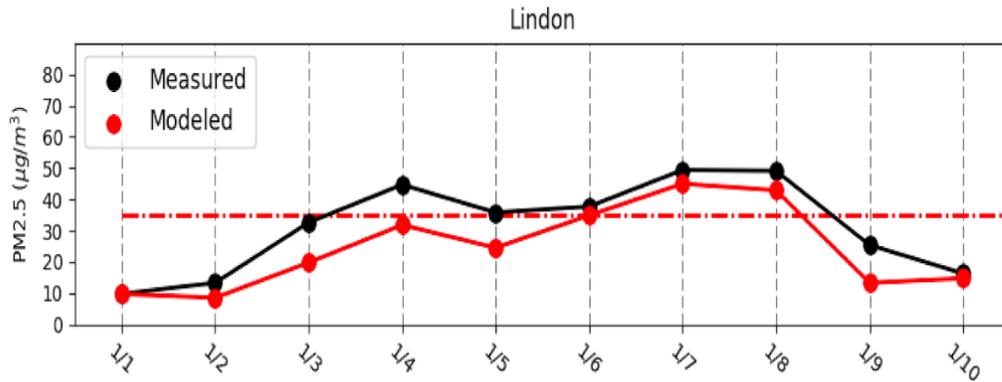
4 To assess how accurately the photochemical model predicts observed concentrations and to demonstrate
5 that the model can reliably predict the change in pollution levels in response to changes in emissions, a
6 model performance evaluation was conducted. This model performance evaluation also provides support
7 for the model modifications and settings that were applied (ammonia injection, increase of surface
8 resistance to ammonia, zeroing-out of ozone deposition velocity, reduction of cloud-water content, snow
9 albedo enhancement, vertical diffusion modifications and paved road dust emissions adjustment) to more
10 accurately reproduce winter-time inversion episodes. A detailed explanation of these model modifications
11 is provided in the TSD.

12 Available ambient monitoring data were used for this photochemical model performance evaluation. Data
13 included 24-hr total $PM_{2.5}$ and 24-hr chemically-speciated $PM_{2.5}$ measurements collected at the Lindon
14 monitoring station in the Provo NAA. The evaluation was based on the December 31-January 10, 2011,
15 episode and the 2011 emissions inventory were used as input data for the model simulations. The
16 evaluation focused on days with $PM_{2.5}$ concentration exceeding the NAAQS ($> 35 \mu\text{g}/\text{m}^3$). Results for
17 December 31, which is a model spin-up day, are excluded from this evaluation.

18 A more detailed model performance evaluation that examines the model performance for gaseous species
19 is provided in the TSD. More details on the model performance at various sites within the Provo NAA are
20 also included in the TSD.

21 Daily $PM_{2.5}$ Concentrations

22 A comparison of 24-hr modeled and observed $PM_{2.5}$ during January 1-10, 2011, at the Lindon monitoring
23 station in the Provo non-attainment area showed that the model overall captures the temporal variation in
24 $PM_{2.5}$ well (Figure IX.A.27.12). The gradual increase in $PM_{2.5}$ concentration and its transition back to low
25 levels are generally well reproduced by the model. Moreover, the bias between measured and modeled
26 $PM_{2.5}$ is overall relatively small, particularly on $PM_{2.5}$ exceedance days.



1
2 **Figure IX.A.27. 12 Ten-day Time Series of Observed (black) and Modeled (red) 24-hr**
3 **Average PM_{2.5} Concentrations During January 1-10, 2011 at Lindon Monitoring Station in**
4 **the Provo NAA. Dashed Red Line is NAAQS for 24-hr PM_{2.5}.**
5

6 *PM_{2.5} Chemical Speciation*

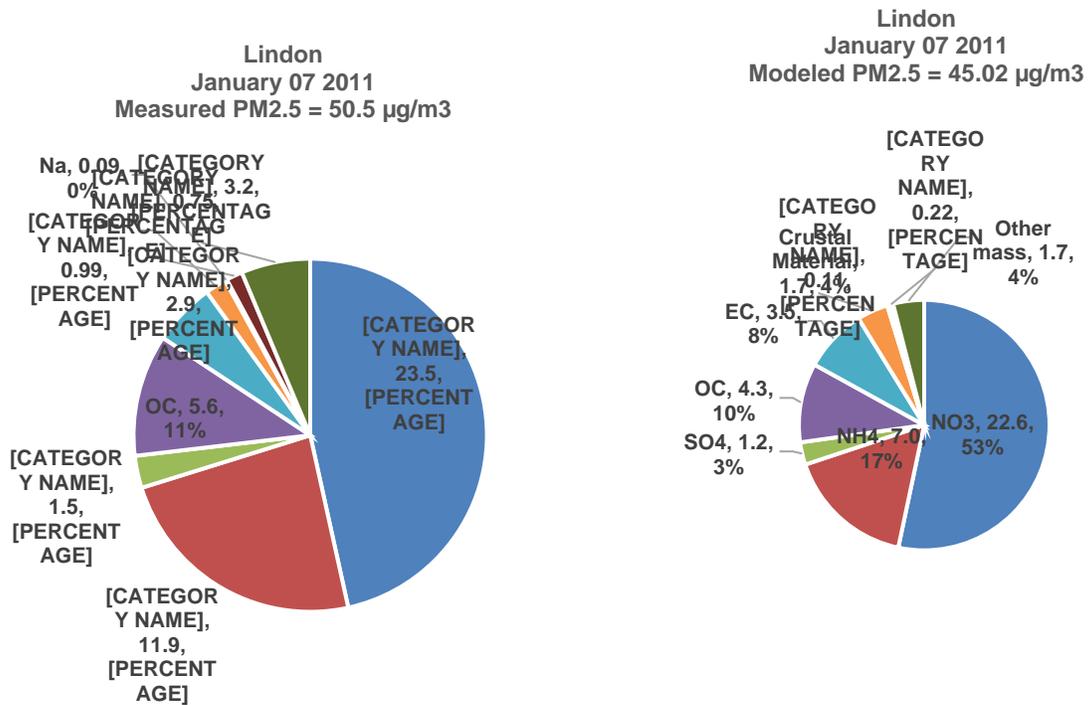
7 The model performance was further evaluated for PM_{2.5} chemical species. Figure IX.A.27.13 shows a
8 comparison of the bulk chemical composition of measured and modeled PM_{2.5} at the Lindon monitoring
9 station on January 7, 2011, which corresponds to a day when PM_{2.5} monitored data showed a value above
10 35 µg /m³. Chemical species, including nitrate (NO₃), sulfate (SO₄), ammonium (NH₄), organic carbon
11 (OC), elemental carbon (EC), chloride (Cl), sodium (Na), crustal material (CM), and other species (other
12 mass), were considered in this analysis. The model performance evaluation for PM_{2.5} species on non-
13 PM_{2.5} exceedance days is provided in the TSD.

14 The model performance for particulate NO₃, which is the major component of PM_{2.5}, was good, with both
15 modeled and measured NO₃ accounting for similar contributions to PM_{2.5} filter mass. Modeled and
16 observed NO₃ concentrations were also in close agreement, accounting for 22.6 and 23.5 ug/m³ of PM_{2.5}
17 mass, respectively. The model, on the other hand, was biased low for NH₄ by about 41%. The
18 underestimation in modeled NH₄ can be related to an underestimation in modeled hydrochloric acid
19 (HCl). A previous source apportionment analysis showed that ammonium chloride accounts for 10-15%
20 of total PM_{2.5} mass along the Wasatch Front during high wintertime PM_{2.5} pollution episodes. The model
21 performance for particulate sulfate was good, with sulfate being biased low in the model by about 20%.
22 The model performance for OC and EC was also reasonably good for January 7, with modeled
23 concentrations being biased by approximately 20%. The model was biased high for CM by approximately
24 70%. Additional detail can be found in the TSD.

Lindon
 December 12 2013
 Measured PM_{2.5} = 45.9 µg/m³

1

2



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4

5

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Figure IX.A.27. 13 a) Measured and b) Modeled Species Contribution (in µg /m³and %) to PM_{2.5} at Lindon Monitoring Station in the Provo NAA on January 7, 2011

1 *Summary of Model Performance*

2 The model performance replicating the buildup and clear out of PM_{2.5} is good overall. The model captures
3 the temporal variation in PM_{2.5} well. The gradual increase in PM_{2.5} concentration and its transition back to
4 low levels are generally well reproduced by the model. The model also predicts reasonably well PM_{2.5}
5 concentration on peak days. It also overall replicates well the composition of PM_{2.5} on an exceedance day,
6 with good model performance for secondary NO₃ and NH₄ which account for over 50% of PM_{2.5} mass.

7 Several observations should be noted on the implications of these model performance findings on the
8 attainment modeling presented in the following section. First, it has been demonstrated that model
9 performance overall is good and, thus, the model can be used for air quality planning purposes. Second,
10 consistent with EPA guidance, the model is used in a relative sense to project future year values. EPA
11 suggests that this approach “should reduce some of the uncertainty attendant with using absolute model
12 predictions alone.”

13 **(d) Modeled Attainment Test**

14 *Introduction*

15
16 With acceptable performance, the model can be utilized to make future-year attainment projections. For
17 any given (future) year, an attainment projection is made by calculating a concentration termed the Future
18 Design Value (FDV). This value is calculated for each monitor included in the analysis, and then
19 compared to the NAAQS (35 µg/m³). If the FDV at every monitor located within a NAA is less than the
20 NAAQS, this demonstrates attainment for that area in that future year.

21 A maintenance plan must demonstrate continued attainment of the NAAQS for a span of ten years. This
22 span is measured from the time EPA approves the plan, a date which is somewhat uncertain during plan
23 development. To be conservative, attainment projections were made for 2035. An assessment was also
24 made for 2026 as a “spot-check” against emission trends within the ten-year span.

25 *PM_{2.5} Baseline Design Values*

26 For any monitor, the FDV is greatly influenced by existing air quality at that location. This can be
27 quantified and expressed as a Baseline Design Value (BDV). The BDV is consistent with the form of the
28 24-hour PM_{2.5} NAAQS, which is the 98th percentile value averaged over a three-year period.
29 Quantification of the BDV for each monitor is included in the TSD, and is consistent with EPA guidance.

30 Several values were excluded when calculating the BDVs in the Provo NAA. EPA’s “Exceptional Events
31 Rule” allows states to exclude certain air quality data due to exceptional events such as wildfires or dust
32 storms. In the preamble to the 2016 amendments to the rule, EPA states that “the CAA also recognizes
33 that it may not be appropriate to use the monitoring data influenced by “exceptional” events that are
34 collected by the ambient air quality monitoring network when making certain regulatory determinations.
35 When “exceptional” events cause exceedances or violations of the NAAQS that subsequently affect

1 certain regulatory decisions, the normal planning and regulatory process established by the CAA may not
 2 be appropriate.”²³

3 There were two large local wildfires during the summer of 2018 that affected the ambient monitored
 4 PM_{2.5} values at the Spanish Fork monitor in the Provo NAA. When including the atypical data influenced
 5 by wildfires, the baseline design value is just below the NAAQS at 35.4 µg/m³. Since the design value
 6 complies with the NAAQS, the wildfire events are not considered “exceptional events” because they did
 7 not cause exceedances or violations of the NAAQS (40 CFR 50.14). In anticipation that there would be
 8 some determinations and analyses not covered by the Exceptional Events Rules that would rely on air
 9 quality data that may have been influenced by atypical, extreme, or unrepresentative events, EPA
 10 published further guidance on the subject²⁴.

11 This guidance identifies the most common determinations and analyses not covered by the Exceptional
 12 Events Rule and clarifies for each of them whether there is a separate existing mechanism under which
 13 the exclusion, selection, or adjustment of air quality monitoring data may be appropriate. One example is
 14 certain modeling analyses under EPA’s Guideline on Air Quality Models Rule, including modeling
 15 analyses used for estimating base and future year design values for ozone and PM_{2.5} attainment
 16 demonstrations.

17 According to the Guidance, these types of modeling analyses may exclude monitoring data if the data is
 18 not representative to characterize base period concentrations which may impact a determinative value in a
 19 projected time period. This could include data used to model future year design values for demonstrating
 20 attainment.

21 In the case of the two Utah County fires, the ambient data recorded by the Spanish Fork monitor was
 22 atypical. It did not characterize base period concentrations, and it would impact a determinative value in
 23 the projected design value. Since this data is atypical and gives an atypical projected design value, it
 24 should be excluded from the Provo NAA’s modeling and maintenance demonstration.

25 As a result, this maintenance plan modeling uses a baseline design value that excludes the atypical data at
 26 the Spanish Fork monitor from the two fires. The baseline design value including the atypical data is 35.4
 27 µg/m³. The baseline design value excluding the atypical data is 28.4 µg/m³. An extensive atypical event
 28 write-up, including back trajectory analysis using HYSPLIT, is included in the TSDs. Table IX.A.27.8
 29 details the filtered PM_{2.5} values that are excluded.

Date	Value	Wildfire Sources
8/7/2018	37.8	Coal Hollow
8/9/2018	50.8	Coal Hollow and other western state(s) fire(s)
8/10/2018	68.8	Coal Hollow and other western state(s) fire(s)
8/11/2018	49.6	Coal Hollow and other western state(s) fire(s)

²³ Treatment of Data Influenced by Exceptional Events; Final Rule, 81 Fed. Reg. 68216 (Oct. 3, 2016).

²⁴ EPA Memorandum. Additional Methods, Determinations, and Analyses to Modify Air Quality Data Beyond Exceptional Events. April 4, 2019.

8/13/2018	58.1	Coal Hollow and other western state(s) fire(s)
9/14/2018	71.5	Pole Creek and Bald Mountain
9/15/2018	42.6	Pole Creek and Bald Mountain
9/17/2018	74.5	Pole Creek and Bald Mountain
9/18/2018	57.7	Pole Creek and Bald Mountain
9/19/2018	76.3	Pole Creek and Bald Mountain
9/21/2018	39.3	Pole Creek and Bald Mountain

1 **Table IX.A.27. 8 Atypical Event Values Excluded from Baseline Design Value at the**
 2 **Spanish Fork Monitor**
 3

4 *Relative Response Factors*

5 In making future-year predictions, the output from the CAMx model is not considered to be an absolute
 6 answer. Rather, the model is used in a relative sense. In doing so, a comparison is made using the
 7 predicted concentrations for both the year in question and a pre-selected baseline year, which for this plan
 8 is 2017. This comparison results in a Relative Response Factor (RRF).

9 The UDAQ used the Software for Model Attainment Test - Community Edition (SMAT-CE) v. 1.01
 10 utility from EPA²⁵ to perform the modeled attainment test for daily PM_{2.5}. SMAT is designed to
 11 interpolate the species fractions of the PM mass from the Speciation Trends Network (STN) monitors to
 12 the FRM monitors. It also calculates the RRF for grid cells near each monitor and uses these to calculate
 13 a future year design value for these grid cells. A grid of 3-by-3 (9) cells surrounding the monitors was
 14 used as the boundary for relative response factor (RRF) calculations.

15 The State of Utah operates three Chemical Speciation Network (CSN) monitors: Hawthorne, Bountiful,
 16 and Lindon. Hawthorne is located in Salt Lake County, the Bountiful monitor is in Davis to the north, and
 17 the Lindon monitor is located in Utah County to the south. Of the three, Hawthorne samples one out of
 18 three days, while the other two sample one in six days.

19 For each monitor, the FDV is calculated by multiplying the BDV by the relative response factor: **FDV =**
 20 **RRF * BDV**. These FDV's are compared to the NAAQS in order to determine whether attainment is
 21 predicted at that location or not. The results for each of the monitors are shown below in Table
 22 IX.A.27.9. For all projected years and monitors, no FDV exceeds the NAAQS. Therefore, continued
 23 attainment is demonstrated for the Provo NAA.

24

Monitor Location	2016-2018 BDV	2026 FDV	2035 FDV*
Lindon	31.1	29.3	29.5
Spanish Fork	28.4**	28.4	28.4

25 **Table IX.A.27. 9 Baseline and Future Design Values (µg/m³) at Monitors in Provo NAA**

26 *These values include additional emissions added to the MAG MVEB from the safety margin

27 **This value excludes data from atypical events discussed in the BDV section

²⁵ <https://www.epa.gov/scram/photochemical-modeling-tools>

1 (2) Attainment Inventory

2 The attainment inventory is discussed in EPA guidance²⁶ as another one of the core provisions that should
3 be considered by states for inclusion in a maintenance plan. According to the guidance, the stated purpose
4 of the attainment inventory is to establish the level of emissions during the time periods associated with
5 monitoring data showing attainment.

6 In cases such as this, where a maintenance demonstration is founded on a modeling analysis that is used
7 in a relative sense, the modeled baseline inventory is used for comparison with every projection year
8 model run. For this analysis, the State compiled a baseyear inventory for the year 2017. This year falls
9 within the span of data representing current attainment of the PM_{2.5} NAAQS. The guidance discusses the
10 projection inventories as well, and notes that they should consider future growth, including population
11 and industry, should be consistent with the baseyear inventory, and should document data inputs and
12 assumptions. Any assumptions concerning emission rates must reflect permanent, enforceable measures.

13 Utah compiled projection inventories for use in the quantitative modeling demonstration. The years
14 selected for projection include 2026 and 2035. The emissions contained in the inventories include sources
15 located within the modeling domain, encompassing all three PM_{2.5} nonattainment areas, as well as a
16 bordering region. See Figure IX.A.27.4.

17 Since this bordering region is so large, the State identified a “core area” within this domain wherein a
18 higher degree of accuracy is important. Within this core area (which includes Weber, Davis, Salt Lake,
19 Utah, Box Elder, Tooele, Cache, and Franklin, ID counties), SIP-specific inventories were prepared to
20 include seasonal adjustments and forecasting to represent each of the projection years. In the bordering
21 regions away from this core, the State used the most current National Emissions Inventory (2014) from
22 EPA for the analysis.

23 There are four general categories of emission sources included in these inventories: point sources, area
24 sources, on-road mobile sources, and non-road mobile sources. For each of these source categories, the
25 pollutants that were inventoried include: PM_{2.5}, SO₂, NO_x, VOC, and NH₃. The unit of measure for point
26 and area sources is the traditional tons per year. Mobile source emissions are reported in terms of tons per
27 day. The pre-processing model, SMOKE, converts all emissions to daily, weekly, and hourly values.

28 Area source emissions were projected to 2017 from the 2014 triannual inventory. Growth data from
29 appropriate data sources, including information from the Governor’s Office of Management and Budget,
30 was used to project inventories to 2026 and 2035. Point source emissions are represented as the actual
31 emissions from the 2017 triannual emissions inventory. Point sources were grown to 2026 and 2035 on a
32 case-by-case basis for the projection inventories.

33 On-road mobile source emissions were calculated for each year using MOVES2014b in conjunction with
34 the appropriate estimates for vehicle miles traveled (VMT). VMT estimates for the urban counties were
35 provided by the local metropolitan planning organizations (MPOs), including the Wasatch Front Regional
36 Council, the Mountainland Association of Governments, and the Cache Metropolitan Planning
37 Organization and are based their travel demand modeling for 2017, 2026, and 2035. Non-road mobile

²⁶ Calcagni (n 3)

1 source emission were calculated for each year using MOVES2014b. Growth data from appropriate data
 2 sources was used to project to 2026 and 2035. The TSD accompanying this SIP includes the Inventory
 3 Preparation Plan that details the growth factors used for each emissions source.

4 Source category emission inventories are expected to look quite different between 2017 and 2035.
 5 Population is expected to steadily increase between the 18-year span. On-road mobile emissions dominate
 6 the 2017 inventory; however, in 2035 area source emissions dominate the inventory. This is due to the tier
 7 3 federal fuel standards and phase-in of newer cars driving on-road emission reductions. Area source
 8 emissions are relatively stable from 2017 to 2026 to 2035, besides a decrease in NOx from 2017 to 2026
 9 due to the phase-in of area source rules.

10 Since this SIP subsection takes the form of a maintenance plan, it must demonstrate that the area will
 11 continue to attain the PM_{2.5} NAAQS throughout a period of ten years from the date of EPA approval. It is
 12 also necessary to “spot check” this ten-year interval. Hence, projection inventories were prepared for
 13 2026 and 2035. Table IX.A.27.10 summarizes these inventories. As described, it represents point, area,
 14 on-road mobile, and non-road mobile sources in the modeling domain and include PM_{2.5}, as well as the
 15 precursors SO₂, NO_x, VOC, and NH₃ as defined in 40 CFR Parts 50, 51, and 93.

16 More detail concerning any element of the inventory can be found in the appropriate section of the TSD.
 17 More detail about the general construction of the inventory can be found in the Inventory Preparation
 18 Plan.

Emissions (tons/day)	Sector	PM _{2.5} Filterable	PM _{2.5} Condensable	PM _{2.5} Total	NOx	VOC	NH3	SO2
2017	Area Sources	1.75	0.29	2.04	5.01	13.32	6.54	0.06
	Mobile Sources	–	–	0.83	15.4	9.07	0.43	0.09
	NonRoad Sources	–	–	0.21	3.07	1.66	0	0.01
	Point Sources	0.18	0.12	0.3	1.12	0.18	0.42	0.05
	Total			3.38	24.6	24.23	7.39	0.22
2026	Area Sources	1.89	0.32	2.21	3.56	14.2	6.38	0.05
	Mobile Sources	–	–	0.42	5.79	4.58	0.36	0.05
	NonRoad Sources	–	–	0.14	2.14	1.65	0.01	0.01
	Point Sources	0.19	0.12	0.31	0.97	0.17	0.44	0.06
	Total			3.08	12.46	20.6	7.19	0.17
2035	Area Sources	2.06	0.35	2.41	3.67	16.32	6.24	0.05
	Mobile Sources	–	–	1.41	5.74	6.49	0.44	0.05
	NonRoad Sources	–	–	0.13	1.84	1.8	0.01	0.01
	Point Sources	0.19	0.12	0.31	0.97	0.17	0.44	0.06
	Total			4.26	12.22	24.78	7.13	0.17

19
 20 **Table IX.A.27. 10 Emissions Inventory in Tons Per Average Episode Day by Source**
 21 **Category and Year**
 22

1 (3) Additional Controls for Future Years

2 Since the emission limitations discussed in subsection IX.A.27.b(3) are federally enforceable and, as
3 demonstrated in IX.A.27.c(1) above, are sufficient to ensure continued attainment of the PM_{2.5} NAAQS,
4 there is no need to require any additional control measures to maintain the PM_{2.5} NAAQS.

5 (4) Mobile Source Budget for Purposes of Conformity

6 The transportation conformity provisions of section 176(c)(2)(A) of the Act requires regional
7 transportation plans and programs to show that "...emissions expected from implementation of plans and
8 programs are consistent with estimates of emissions from motor vehicles and necessary emissions
9 reductions contained in the applicable implementation plan..." EPA's transportation conformity
10 regulation (40 CFR 93, Subpart A, last amended at 77 FR 14979, March 14 2012) also requires that
11 motor vehicle emission budgets must be established for the last year of the maintenance plan, and may be
12 established for any years deemed appropriate (see 40 CFR 93.118((b)(2)(i)).

13 For an MPO's Regional Transportation Plan, analysis years that are after the last year of the maintenance
14 plan (in this case 2035), a conformity determination must show that emissions are less than or equal to the
15 maintenance plan's motor vehicle emissions budget(s) for the last year of the implementation plan.

16 (a) Mobile Source PM_{2.5} Emissions Budgets

17 In this maintenance plan, Utah is establishing transportation conformity motor vehicle emission budgets
18 (MVEB) for direct PM_{2.5}, NO_x, and VOC for 2035. The MVEBs are established for tons per average
19 winter weekday for NO_x, VOC, and direct PM_{2.5} (primary exhaust PM_{2.5} + brake and tire wear).

20 i. Direct PM_{2.5}, NO_x, and VOC

21 Direct (or "primary") PM_{2.5} refers to PM_{2.5} that is not formed via atmospheric chemistry. Rather, direct
22 PM_{2.5} is emitted straight from a mobile or stationary source. With regard to the emission budget
23 presented herein, direct PM_{2.5} includes road dust, brake wear, and tire wear as well as PM_{2.5} from exhaust.
24 Through atmospheric chemistry, NO_x and VOC emissions can substantially contribute to secondary PM_{2.5}
25 formation. For this reason, NO_x and VOC are considered PM_{2.5} precursors and are the only PM_{2.5}
26 precursors emitted at a significant level by on-road mobile and therefore included in the MVEBs.

27 EPA's conformity regulation (40 CFR 93.124(a)) allows the implementation plan to quantify explicitly
28 the amount by which motor vehicle emissions could be higher while still demonstrating compliance with
29 the maintenance requirement. These additional emissions that can be allocated to the applicable MVEB
30 are considered the "safety margin." As defined in 40 CFR 93.101, the safety margin represents the
31 amount of emissions by which the total projected emissions from all sources of a given pollutant are less
32 than the total emissions that would satisfy the applicable requirement for demonstrating maintenance. The
33 implementation plan can then allocate some or all of this "safety margin" to the applicable MVEBs for
34 transportation conformity purposes.

35 As presented in the TSD for on-road mobile sources, the estimated on-road mobile source emissions of
36 direct PM_{2.5}, NO_x, and VOC in 2035 for the Provo NAA, are listed in the first row (original MVEB) in
37 Table IX.A.27.11. These mobile source emissions were included in the maintenance demonstration in

1 Subsection IX.A.27.c which estimates a maximum PM_{2.5} concentration of 28.5 µg/m³ at the Lindon
 2 monitor in 2035 within the Provo NAA portion of the modeling domain. These emissions numbers are
 3 considered the MVEB for the maintenance plan prior to the application of any amount of safety margin.

4 The safety margin for the Provo NAA portion of the domain equates to 6.5 µg/m³ (the 2006 24-hr PM_{2.5}
 5 standard of 35.0 µg/m³ minus the initial 2035 FDV of 28.5 µg/m³). To evaluate the portion of safety
 6 margin that could be allocated to the MVEBs, modeling was re-run for 2035 using the same emission
 7 projections for point, area and non-road mobile sources with additional emissions attributed to the on-
 8 road mobile source (see 2nd row of Table IX.A.27.11 Additional Tons Per Day from Safety Margin). The
 9 revised maintenance demonstration for 2035 still shows maintenance of the PM_{2.5} standard with a
 10 maximum PM_{2.5} concentration of 29.5 µg/m³ at the Lindon monitor in 2035 within the Provo NAA
 11 portion of the modeling domain. The final 2035 MVEB for the Provo NAA Metropolitan Planning
 12 Organization, Mountainland Association of Governments, is listed in the last row of Table IX.A.27.11
 13 along with the 2035 design value that includes the revised MVEB.

	Direct PM _{2.5}	NO _x	VOC	Design Value @ controlling monitor
Original MVEB	.32	4.5	4.2	28.7 µg/m ³
Additional Tons Per Day from Safety Margin	1.18	2.0	2.8	--
Final 2035 MVEB	1.5	6.5	7.0	29.5 µg/m ³

16 **Table IX.A.27. 11 2035 Mountainland Association of Government’s Motor Vehicle**
 17 **Emission Budget in Tons per Winter Weekday**
 18

19 It is important to note that the MVEBs presented in Table IX.A.27.11 are somewhat different from the on-
 20 road summary emissions inventory presented in Table IX.A.27.10.

21 Overall the emissions established as MVEBs are calculated using MOVES to reflect an average winter
 22 weekday. The totals presented in the summary emissions inventory (Table IX.A.27.10), however,
 23 represent an average-episode-day. The episode used to make this average (December 31, 2010 through
 24 January 10, 2011) includes seven such winter weekdays, but also includes two weekends. Emissions
 25 produced on weekdays are significantly larger than those produced on both Saturdays and Sundays.
 26 Therefore, the weighted average of daily emissions calculated for an episode-day will be less than that of
 27 a weekday.

28 There are also some conventions to be considered in the establishment of MVEBs. In particular, PM_{2.5} in
 29 the summary emissions inventory totals includes direct exhaust, tire & brake wear, and fugitive dust. For
 30 the MVEBs PM_{2.5} includes direct exhaust, tire & brake but no fugitive dust. VOC emissions in the
 31 summary emissions inventory include refueling spillage and displacement vapor loss and are counted in
 32 the on-road mobile category. MVEBs for VOC do not include these emissions because, in this context,
 33 they are regarded as an area source.

40 CFR 93.118((b)(2)(i) also states “If the maintenance plan does not establish motor vehicle emissions budgets for any years other than the last year of the maintenance plan, the conformity regulation requires that a "demonstration of consistency with the motor vehicle emissions budget(s) must be accompanied by a qualitative finding that there are not factors which would cause or contribute to a new violation or exacerbate an existing violation in the years before the last year of the maintenance plan.”

Considering this, it is useful to compare the projected future design values in 2026 at all monitors in the NAA to the on-road mobile emission inventory as well as the percent of the total inventory that the on-road mobile sector comprises. As can be seen in Table IX.A.27.9, the design values in the Provo NAA are 29.1 and 28.4 µg/m³. The Lindon monitor shows the highest value at 29.1 µg/m³, which is 5.9 µg/m³ below the standard. The on-road mobile source contribution to the overall inventory is shown in Table IX.A.27.12.

Emissions tons/day	PM_{2.5}	NO_x	VOC
2026 emission inventory total	3.08	12.46	20.6
2026 on-road mobile inventory	.42	5.79	4.58
On-road mobile % of total inventory	13.64%	46.47%	22.23%

Table IX.A.27. 12 Comparison of 2026 On-Road Mobile Inventory to Total Emissions Inventory

Although the on-road mobile NO_x contribution is almost half of the total NO_x in the inventory, the projected design values are so far below the standard, UDAQ is confident that there will not be any on-road mobile factors that will cause or contribute to a new violation of the NAAQS.

ii. Trading Ratios for Transportation Conformity

Per section 93.124 of the conformity regulations, for transportation conformity analyses using these budgets in analysis years beyond 2035, a trading mechanism is established to allow future increases in on-road direct PM_{2.5} emissions to be offset by future decreases in plan precursor emissions from on-road mobile sources at appropriate ratios established by the air quality model. Future increases in on-road direct PM_{2.5} emissions may be offset with future decreases in NO_x emissions from on-road mobile sources at a NO_x to PM_{2.5} ratio of 5.8 to 1 and/or future decreases in VOC emissions from on-road mobile sources at a VOC to PM_{2.5} ratio of 27.9 to 1. This trading mechanism will only be used if needed for conformity analyses for years after 2035. To ensure that the trading mechanism does not impact the ability to meet the NO_x or VOC budgets, the NO_x emission reductions available to supplement the direct PM_{2.5} budget shall only be those remaining after the 2035 NO_x budget has been met, and the VOC emissions reductions available to supplement the direct PM_{2.5} budget shall only be those remaining after the 2035 VOC budget has been met. Clear documentation of the calculations used in the trading should be included in the conformity analysis. The assumptions used to create the trading ratios can be found in the TSDs.

(5) Nonattainment Requirements Applicable Pending Plan Approval

CAA 175A(c) - *Until such plan revision is approved and an area is redesignated as attainment, the requirements of CAA Part D, Plan Requirements for Nonattainment Areas, shall remain in force and effect.* The Act requires the continued implementation of the nonattainment area control strategy unless such measures are shown to be unnecessary for maintenance or are replaced with measures that achieve

1 equivalent reductions. Utah will continue to implement the emissions limitations and measures from both
2 PM_{2.5} SIPs.

3 **(6) Revise in Eight Years**

4 CAA 175A(b) - Eight years after redesignation, the State must submit an additional plan revision which
5 shows maintenance of the applicable NAAQS for an additional 10 years. Utah commits to submit a
6 revised maintenance plan eight years after EPA takes final action redesignating the Provo area to
7 attainment, as required by the Act.

8 **(7) Verification of Continued Maintenance and Monitoring**

9 Implicit in the requirements outlined above is the need for the State to determine whether the area is in
10 fact maintaining the standard it has achieved. There are two complementary ways to measure this: 1) by
11 monitoring the ambient air for PM_{2.5}; and 2) by inventorying emissions of PM_{2.5} and its precursors from
12 various sources.

13 The State will continue to maintain an ambient monitoring network for PM_{2.5} in accordance with 40 CFR
14 Part 58 and the Utah SIP. The State anticipates that the EPA will continue to review the ambient
15 monitoring network for PM_{2.5} each year, and any necessary modifications to the network will be
16 implemented.

17 Additionally, the State will track and document measured mobile source parameters (e.g., vehicle miles
18 traveled, congestion, fleet mix, etc.) and new and modified stationary source permits. If these and the
19 resulting emissions change significantly over time, the State will perform appropriate studies to
20 determine: 1) whether additional and/or re-sited monitors are necessary; and 2) whether mobile and
21 stationary source emission projections are on target. The State will also continue to collect actual
22 emissions inventory data from sources at thresholds defined in R307-150.

23 **(8) Contingency Plan**

24 *CAA 175A(d) - Each maintenance plan shall contain contingency measures to assure that the State will*
25 *promptly correct any violation of the standard which occurs after the redesignation of the area to*
26 *attainment. Such provisions shall include a requirement that the State will implement all control*
27 *measures which were contained in the SIP prior to redesignation.*

28 Upon redesignation, this contingency plan for the Provo NAA supersedes Subsection IX.A.22.9,
29 Contingency Measures, which is part of the moderate Provo NAA PM_{2.5} attainment SIP.

30 The contingency plan must also ensure that the contingency measures are adopted expeditiously once
31 triggered. The primary elements of the contingency plan are: 1) the list of potential contingency measures;
32 2) the tracking and triggering mechanisms to determine when contingency measures are needed; and 3) a
33 description of the process for recommending and implementing the contingency measures.

34 **(a) List of Potential Contingency Measures**

35 Section 175(d) of the CAA requires the maintenance plan to include as potential contingency measures all
36 of the PM_{2.5} control measures contained in the attainment SIP that were relaxed or modified prior to

1 redesignation. There were no control measures relaxed in the Provo NAA; however, below are potential
2 contingency measure that will be evaluated. If it is determined through the triggering mechanism that
3 additional emissions reductions are necessary, UDAQ will adopt and implement appropriate contingency
4 measure as expeditiously as possible.

- 5 1. Measures to address emissions from residential wood combustion (i.e. emissions from fireplaces
6 under the existing R307-302 rule), including re-evaluating the thresholds at which red or yellow
7 burn days are triggered. Residential wood combustion represents a large emissions inventory
8 source category at 43.6% of direct PM_{2.5} emissions in the 2017 county-wide inventory.
- 9 2. Measures to address fugitive dust from area sources. Fugitive dust represents 28.1% of direct
10 PM_{2.5} emissions in the 2017 county-wide inventory.
- 11 3. Additional measures to address other PM_{2.5} sources identified in the emissions inventory such as
12 on-road vehicles, non-road vehicles and engines, and industrial sources. These source categories
13 represent 43.2%, 8.3%, and 3.5%, respectively, of the overall 2017 baseyear emissions inventory.

14 In addition, UDAQ administers incentive and grant programs that reduce emissions in Utah's NAAs.
15 The emissions reductions are not included in the quantitative maintenance demonstration; however,
16 they are expected to contribute to the mitigation of PM_{2.5} concentrations. Generally speaking, the
17 programs target Utah nonattainment areas. The programs include approximately \$25.5 million from
18 the Volkswagen settlement and approximately \$12.7 million to replace heavy-duty diesel trucks and
19 buses that are operating under old emissions standards. Approximately \$1.3 million will go towards
20 upgrading non-road engines on the Wasatch Front. Another \$3.8 million of the Volkswagen funding
21 will go towards installing electric vehicle supply equipment in Utah. UDAQ is in the process of using
22 approximately \$9.6 million in federal funding to implement wood stove changeout programs
23 throughout the three Utah PM_{2.5} NAAs.

24 **(b) Tracking**

25 The tracking plan for the three NAAs consists of monitoring and analyzing ambient PM_{2.5} concentrations.
26 In accordance with 40 CFR 58, the State will continue to operate and maintain an adequate PM_{2.5}
27 monitoring network in SLC, Provo, and Logan NAAs.

28 **(c) Triggering**

29 Triggering of the contingency plan does not automatically require a revision to the SIP, nor does it mean
30 that the area will automatically be redesignated once again to nonattainment. Instead, the State will have
31 an appropriate timeframe to correct the potential violation with implementation of one or more adopted
32 contingency measures. In the event that violations continue to occur, additional contingency measures
33 will be adopted until the violations are corrected.

34 Upon notification of a potential violation of the PM_{2.5} NAAQS, the State will develop appropriate
35 contingency measures intended to prevent or correct a violation of the PM_{2.5} standard. Information about
36 historical exceedances of the standard, the meteorological conditions related to the recent exceedances,
37 and the most recent estimates of growth and emissions will be reviewed. The possibility that an
38 exceptional event occurred will also be evaluated.

- 1 Upon monitoring a potential violation of the PM_{2.5} NAAQS, including exceedances flagged as
- 2 exceptional events but not concurred with by EPA, the State will identify a means of corrective action
- 3 within six months after a potential violation. The maintenance plan contingency measures will be chosen
- 4 based on a consideration of cost-effectiveness, emission reduction potential, economic and social
- 5 considerations, or other factors that the State deems appropriate.

- 6 The State will require implementation of such corrective action no later than one year after the violation is
- 7 confirmed. Any contingency measures adopted and implemented will become part of the next revised
- 8 maintenance plan submitted to the EPA for approval.